

I. General Comments.

A. Where use

1. 2 page formula summary sheet
2. NRL Plasma Formulary

B. Topics to be covered

1. Lectures #14-21,
2. Homework #4-7

II. Review

A. Kinetic Theory

1. Boltzmann Equation:  $\frac{\partial f_s}{\partial t} + \mathbf{v} \cdot \nabla f_s + \frac{q_s}{m_s} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \frac{\partial f_s}{\partial \mathbf{v}} = \left( \frac{\partial f_s}{\partial t} \right)_{coll}$

where  $f_s(\mathbf{x}, \mathbf{v}, t)$  is 6-D distribution func.  $= 0 \Rightarrow$  Vlasov Equation

2.  $\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$   $\rho = \sum_s \int d^3v q_s f_s$   
 $\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$   $\mathbf{j} = \sum_s \int d^3v q_s \mathbf{v} f_s$   
 $\nabla \times \mathbf{B} = \mu_0 \mathbf{j} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t}$   $-\frac{m_s |\mathbf{v} - \mathbf{U}_s(\mathbf{x}, t)|^2}{2 T_s(\mathbf{x}, t)}$   
 $\nabla \cdot \mathbf{B} = 0$

3. Maxwellian Distribution  $f_{sm}(\mathbf{x}, \mathbf{v}, t) = \frac{n_s(\mathbf{x}, t)}{\pi^{3/2} v_{Ts}(\mathbf{x}, t)^{3/2}} e^{-\frac{m_s |\mathbf{v} - \mathbf{U}_s(\mathbf{x}, t)|^2}{2 T_s(\mathbf{x}, t)}}$

$\Rightarrow f_{sm}(\mathbf{v}) = \frac{n_{s0}}{\pi^{3/2} v_{Ts}^3} e^{-\frac{v^2}{v_{Ts}^2}}$  where  $v_{Ts} = \sqrt{\frac{2 T_s}{m_s}}$

4. Moments:  $n_{s0} = \int d^3v f_{sm}(\mathbf{v})$

$\frac{3}{2} n_{s0} T_{s0} = \int d^3v \frac{1}{2} m_s v^2 f_{sm}(\mathbf{v})$

5. Reduced Distribution Function:  $F_s(v_2) = \frac{1}{n_{s0}} \int d^3x \int_{-\infty}^{\infty} d^3v f_{sm}(\mathbf{v})$

a.  $\int_{-\infty}^{\infty} dv_2 F_s(v_2) = 1$



II (Continued)

B. Electrostatic Waves in Unmagnetized Plasma

1.  $E = -\nabla\phi$

2. Linearized a.  $\frac{\partial f_{s1}}{\partial t} + \mathbf{v} \cdot \nabla f_{s1} - \frac{q_s}{m_s} \nabla\phi \cdot \frac{\partial f_{s0}}{\partial \mathbf{v}} = 0$

b.  $-\nabla^2 \phi = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_{s1}$

3. Fourier Transform: Substn:  $\frac{\partial}{\partial t} \Rightarrow -i\omega$   $\nabla \Rightarrow i\mathbf{k}$

a.  $0(k, \omega) = 1 - \sum_s \frac{q_s n_s^0}{\epsilon_0 k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial f_{s0} / \partial v_z}{(v_z - \frac{\omega}{k})} = 0$

b. Only valid where  $f_{s0} = 0$  and  $\frac{\partial f_{s0}}{\partial v_z} = 0$  at  $v_z = \frac{\omega}{k}$ .

c. Can integrate by parts to get  $0(k, \omega) = 1 - \sum_s \frac{q_s n_s^0}{\epsilon_0 k^2} \int_{-\infty}^{\infty} dv_z \frac{f_{s0}}{(v_z - \frac{\omega}{k})^2} = 0$

d. For  $v_z \ll \frac{\omega}{k}$ , we can expand denominator  $\frac{1}{(v_z - \frac{\omega}{k})^2} \approx \frac{k^2}{\omega^2} (1 + 2(\frac{kv_z}{\omega}) + \dots)$

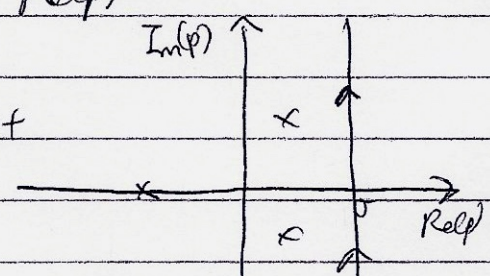
4. Laplace Transforms

a. Landau solved the problem by using Laplace-Fourier Transforms, thus handling the initial value problem and determining growth/damping

b. DEF:  $\tilde{f}(p) = \int_0^{\infty} dt f(t) e^{-pt}$   $\text{Re}(p) > 0$

$p = \gamma - i\omega$

c. INVERSE:  $f(t) = \frac{1}{2\pi i} \int_{\sigma - i\infty}^{\sigma + i\infty} dp f(p) e^{pt}$



d.  $\tilde{f}'(p) = p \tilde{f}(p) - f(0)$

All poles to left of  $\sigma$ .

5. Contour Integration a. Analytic Function  $f(z) \rightarrow$  Derivative everywhere.

b. Deformation of Paths

c. Cauchy Integral Formula:  $f(z_0) = \frac{1}{2\pi i} \int \frac{f(z) dz}{z - z_0}$

d. Residue Theorem:  $\int_C f(z) dz = 2\pi i \sum_{k=1}^n \text{Res}[f(z)]_{z=z_k}$



II B. (Continued)

6. Laplace-Fourier Solution of Simple System

a. This is important

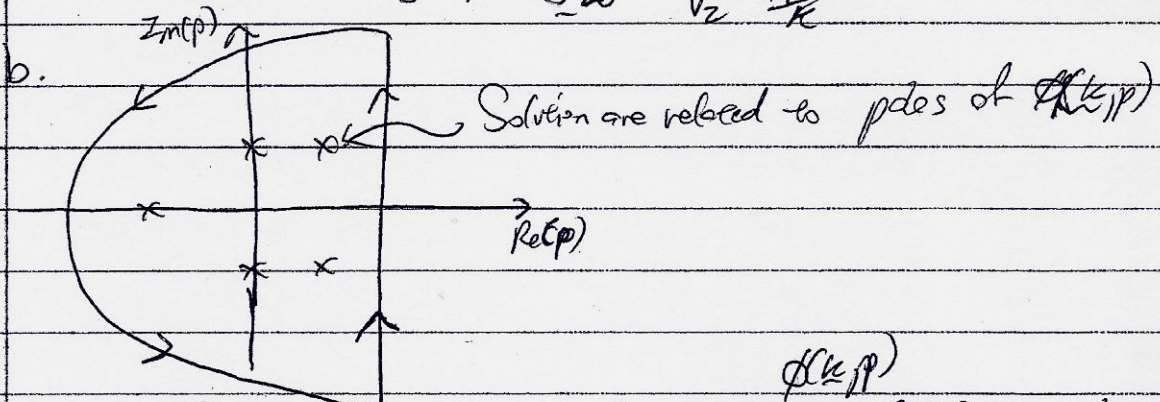
7. Laplace-Fourier Solution for Electrostatic Plasma Waves

a.  $\phi(k, p) = \frac{N(k, p)}{D(k, p)}$

where  $N(k, p) = -i \sum_s \frac{q_s n_{s0}}{s \epsilon_0 k^3} \int_{-\infty}^{\infty} dv_z \frac{F_s(v)}{v_z - ip/k}$

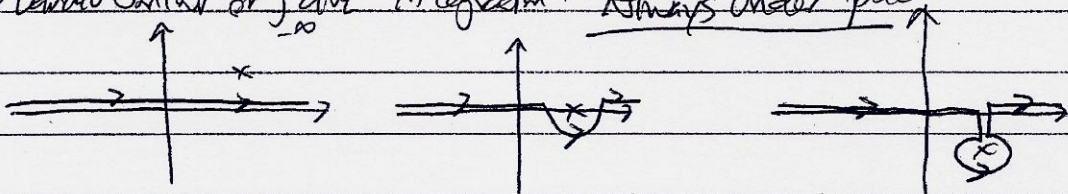
and Dispersion Relation is given by

$D(k, p) = 1 - \sum_s \frac{q_s n_{s0}}{s k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial F_s / \partial v_z}{v_z - ip/k} = 0 \Rightarrow \text{Solutions!}$



c. Need to analytically continue to  $\text{Re}(p) < 0$  plane.

$\Rightarrow$  Landau Contour of  $\int_{-\infty}^{\infty} dv_z$  in equation is Always under pole!



d. Evaluate using Residue Theorem

8. Cauchy Distribution:  $F_0(v) = \frac{e}{\pi} \frac{1}{c^2 + v^2}$

9. Weak Growth Rate Approximation:  $D_r(k, \omega) = 0 \Rightarrow \omega_r$

$\gamma = \frac{-D_i(k, \omega_r)}{\partial D_r(k, \omega) / \partial \omega_r}$



II. B. (Continued)

10. Plemelj Relations

$$\lim_{\epsilon \rightarrow 0} \int_{-\infty}^{\infty} dx \frac{f(x)}{x - (x_0 \pm i\epsilon)} = \mathcal{P} \int_{-\infty}^{\infty} dx \frac{f(x)}{x - x_0} \pm i\pi f(x_0)$$

b. Principal Value  $\mathcal{P} \int_{-\infty}^{\infty} \frac{g(x)}{x - x_0} dx = \lim_{\delta \rightarrow 0} \left[ \int_{-\infty}^{x_0 - \delta} \frac{g(x)}{x - x_0} dx + \int_{x_0 + \delta}^{\infty} \frac{g(x)}{x - x_0} dx \right]$

11. For a general equilibrium, we find

$$\gamma = \pi \frac{k}{|k|} \frac{1}{\frac{\partial \Omega_r(k, \omega)}{\partial \omega}} \sum_s \frac{v_{ps}^2}{k^2} \left. \frac{\partial f_{os}}{\partial v_z} \right|_{v_z = \frac{\omega}{k}}$$

12. Plasma Dispersion Function:

a.  $Z(\zeta) = \int_0^{\infty} \frac{dz}{\pi^{1/2}} \frac{e^{-z^2}}{z - \zeta}$   $\zeta_s = \frac{i\gamma}{k v_{es}} = \frac{\omega}{k v_{es}} - i \frac{\gamma}{k v_{es}}$

b.  $|\zeta| \gg 1$ :  $Z(\zeta) \approx i\pi^{1/2} e^{-\zeta^2} \left( -\frac{1}{\zeta} - \frac{1}{2\zeta^3} - \frac{3}{4\zeta^5} - \dots \right)$

c.  $|\zeta| \ll 1$ :  $Z(\zeta) \approx i\pi^{1/2} e^{-\zeta^2} \left( -2\zeta + \frac{4\zeta^3}{3} - \frac{8\zeta^5}{15} + \dots \right)$

13. For ion and electron plasmas, a. Langmuir waves  $\omega^2 = \omega_p^2 + 3k^2 v_{te}^2$  (Maxwellian) b. Ion Bernstein waves  $\omega^2 = k^2 c_i^2$   $c_i^2 = \frac{T_e}{m_i}$

C. Cold Beam Instabilities

1. Cold Beam Description:  $f_{os}(v) = \sum_j n_{js} \delta(v_x) \delta(v_y) \delta(v_z - v_{js})$

2. Two-Stream Instability:  $n_1 = n_2 = n_0$   $v_1 = -v_2 = V$

a.  $\omega^2 = \omega_p^2 + k^2 V^2 \pm \sqrt{\omega_p^4 + 4\omega_p^2 k^2 V^2}$

b.  $\Rightarrow k < k_c = \sqrt{2} \frac{\omega_p}{V}$  For instability.

D. Kinetic Stability:

1. Gardner's Theorem: Single-humped velocity distribution is always stable.

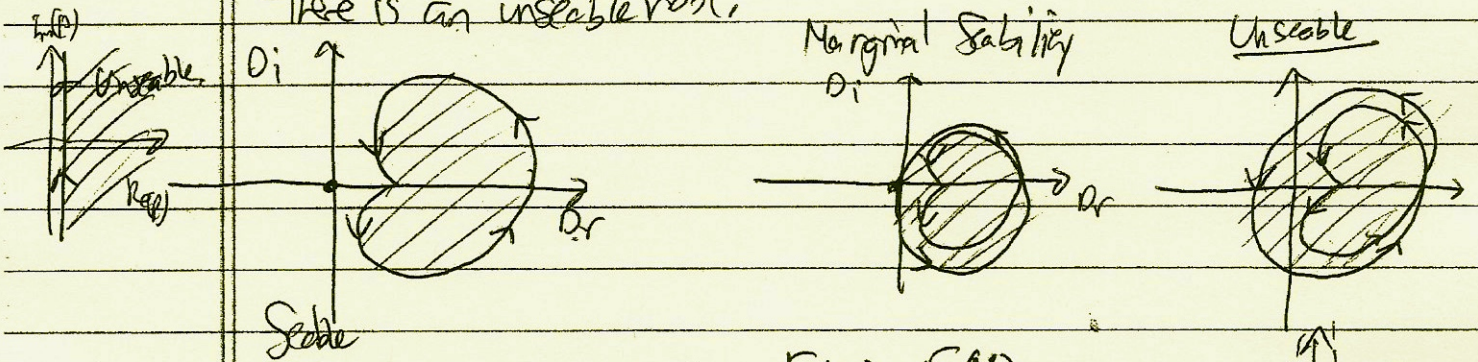


# Review A2 (Continued)

Hawes 5

## II D. (Continued)

2. Nyquist Criterion: Map marginal stability criterion from Complex  $s$ -space to Complex  $D$ -space. If  $D=0$  falls within contour, there is an unstable root.



3. Penrose Criterion: 
$$\int_{-\infty}^{\infty} \frac{F_d(v_z) - F_d(v_z^*)}{(v_z - v_z^*)^2} dv_z > 0$$

## III. A. Quasilinear Theory:

1. Mean & Fluctuating Values:
  - a. Mean  $\langle f_s(v_z, t) \rangle = \frac{1}{2L} \int_{-L}^L dz f_s(z, v_z, t)$
  - b.  $f_s(z, v_z, t) = \langle f_s(v_z, t) \rangle + \epsilon f_{s1}(z, v_z, t)$

2. Resulting Equations for Electrostatic Waves:

- a. 
$$\frac{\partial \langle f_s \rangle}{\partial t} = \epsilon^2 \frac{q_s}{m_s} \frac{\partial}{\partial v_z} \left\langle f_{s1} \frac{\partial \phi_1}{\partial z} \right\rangle$$
 Approximation  $\approx 0$
- b. 
$$\epsilon \left( \frac{\partial}{\partial t} + v_z \frac{\partial}{\partial z} \right) f_{s1} = \epsilon \frac{q_s}{m_s} \frac{\partial \phi_1}{\partial z} \frac{\partial \langle f_s \rangle}{\partial v_z} + \epsilon^2 \frac{q_s}{m_s} \frac{\partial}{\partial z} \left[ f_{s1} \frac{\partial \phi_1}{\partial z} - \left\langle f_{s1} \frac{\partial \phi_1}{\partial z} \right\rangle \right]$$
- c. 
$$\epsilon \frac{\partial^2 \phi_1}{\partial z^2} = -\epsilon \sum_s \frac{q_s}{\epsilon_0} \int_{-\infty}^{\infty} dv_z f_{s1}$$

3. Quasilinear Diffusion Equation: a. NOTE:  $\hat{\phi}_1(k) = \hat{\phi}_1^*(-k)$   $\omega_r(k, \tau) = \omega_r^*(k, \tau)$   
 $\chi(k, \tau) = \chi^*(k, \tau)$

$$\frac{\partial \langle f_s \rangle}{\partial t} = \frac{\partial}{\partial v_z} \left[ D_2(v_z, t, \tau) \frac{\partial \langle f_s \rangle}{\partial v_z} \right]$$

$$D_2(v_z, t, \tau) = \frac{2}{\epsilon_0} \left( \frac{q_s}{m_s} \right)^2 \int_{-\infty}^{\infty} dk \frac{\epsilon(k, t, \tau) \chi(k, \tau)}{[\omega_r(k, \tau) - kv_z]^2 + [\chi(k, \tau)]^2}$$



Review #2 (Continued)

Hawes (6)

III. A. (Continued)

$$B.C. \quad \frac{\partial \Sigma(k, t, \tau)}{\partial t} = \gamma \delta(k, \tau) \Sigma(k, t, \tau)$$

$$d. \quad D(k, \omega) = 1 - \sum_s \frac{c v_s^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial F_s}{\partial v_z} \Big|_{v_z = \frac{\omega}{k}} = 0$$

e. Must evolve  $\langle F_s(v_z, \tau) \rangle$ ,  $\Sigma(k, t, \tau)$ , and  $\delta(k, \tau)$  self-consistently.

f. Application:

