

Lecture #16 Landau Damping of Electrostatic Waves

Huws ①

I. Laplace-Fourier Solution of Electrostatic Plasma Waves

A. Setup:

1. Electrostatic: $\vec{E} = -\nabla\phi$, $\vec{B} = 0$, $\vec{E}_0 = 0 \Rightarrow \phi_0 = 0$

2. Vlasov-Maxwell System:

$$\frac{\partial f_s}{\partial t} + \vec{v} \cdot \nabla f_s - \frac{q_s}{m_s} \nabla\phi \cdot \frac{\partial f_s}{\partial \vec{v}} = 0$$

$$-\nabla^2 \phi = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_s$$

3. Take $\vec{k} = k \hat{z}$

B. Linearization

1. $f_s = f_{s0}(v) + \epsilon f_{s1}(k, v, t)$
 $\phi = \phi_0 + \epsilon \phi_1(k, t)$

2. At $\mathcal{O}(\epsilon)$:

a. $\frac{\partial f_{s1}}{\partial t} + \vec{v} \cdot \nabla f_{s1} - \frac{q_s}{m_s} \nabla\phi_1 \cdot \frac{\partial f_{s0}}{\partial \vec{v}} = 0$

b. $-\nabla^2 \phi_1 = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_{s1}$

C. Fourier Transform in Space Only $\nabla \Rightarrow i\vec{k}$

1. a. $\frac{\partial f_{s1}}{\partial t} + i\vec{v} \cdot \vec{k} f_{s1} - i \frac{q_s \phi_1}{m_s} k \cdot \frac{\partial f_{s0}}{\partial \vec{v}} = 0$

b. $k^2 \phi_1 = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_{s1}$

D. Laplace Transform in Time: $\tilde{f}_s(p) = \int_0^{\infty} dt f_{s1}(t) e^{-pt}$

1. a. $\tilde{f}'_s(p) + i\vec{v} \cdot \vec{k} \tilde{f}_s(p) - i \frac{q_s \tilde{\phi}(p)}{m_s} k \cdot \frac{\partial f_{s0}}{\partial \vec{v}} = 0$

b. Using $\tilde{f}'(p) = p\tilde{f}(p) - f(0)$, we get

$$(p + i\vec{v} \cdot \vec{k}) \tilde{f}_s(p) = i \frac{q_s \tilde{\phi}(p)}{m_s} k \cdot \frac{\partial f_{s0}}{\partial \vec{v}} + f(0)$$

Lecture #16 (Continued)

I.O.D. (Continued)

2. Solving for $\tilde{f}_s(p)$

$$\tilde{f}_s(p) = \frac{i \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{x}} \frac{q_s \tilde{\phi}_1(p)}{m_s}}{p + i \underline{k} \cdot \underline{v}} + \frac{f_s(0)}{p + i \underline{k} \cdot \underline{v}}$$

The poles in this solution are due to $\tilde{\phi}_1(p)$ poles and $p = -i \underline{k} \cdot \underline{v}$

E. Substitute $\tilde{f}_s(p)$ into Poisson's Equation to Solve for $\tilde{\phi}_1(p)$

$$1. \quad k^2 \tilde{\phi}_1 = \frac{1}{\epsilon_0} \sum_s \int d^3 \underline{v} \, q_s \left\{ \frac{i \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{x}} \frac{q_s \tilde{\phi}_1(p)}{m_s}}{p + i \underline{k} \cdot \underline{v}} + \frac{f_s(0)}{p + i \underline{k} \cdot \underline{v}} \right\}$$

NOTE: $\tilde{\phi}_1(p)$ does not depend on \underline{v} .

2. Divide by k^2 and collect $\tilde{\phi}_1(p)$ terms:

$$a. \quad \tilde{\phi}_1 \left[1 - \sum_s \frac{\left(\frac{q_s^2 n_0}{\epsilon_0 m_s} \right)}{k^2 n_0} \int d^3 \underline{v} \frac{i \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{x}}}{p + i \underline{k} \cdot \underline{v}} \right] = \frac{1}{k^2 \epsilon_0} \sum_s \int d^3 \underline{v} \frac{q_s f_s(0)}{p + i \underline{k} \cdot \underline{v}}$$

Dispersion Relation $D(p, \underline{k})$ Initial Conditions $N(p, \underline{k})$

b. Solution to $D(p, \underline{k}) = 0$ gives normal modes of the system.

c. Thus
$$\tilde{\phi}_1(p) = \frac{N(p, \underline{k})}{D(p, \underline{k})}$$

d. Inverse Laplace Transform $\tilde{\phi}_1(p)$ by Residue Theorem is due to poles in $N(p, \underline{k})$ and zeros of $D(p, \underline{k})$

F. Simplify Using $\underline{k} = k \hat{z}$ and Reduced Distribution Function $f_{s0}(v_z)$

$$1. \quad f_{s0}(v_z) = \frac{1}{n_0} \int_{-\infty}^{\infty} dv_x \int_{-\infty}^{\infty} dv_y \, f_{s0}(\underline{v})$$

Lecture #16 (Continued)
 T. F. (Continued)

Howes (3)

2. Thus $D(p, k) = 1 - \sum_s \frac{\omega_{ps}^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{ik \frac{\partial f_{s0}}{\partial v_z}}{p + ikv_z} = 1 - \sum_s \frac{\omega_{ps}^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\frac{\partial f_{s0}}{\partial v_z}}{v_z - \frac{ip}{k}}$

b. Similarly

$N(p, k) = \sum_s \frac{-iq_s n_0}{\epsilon_0 k^3} \int_{-\infty}^{\infty} dv_z \frac{F_s(v)}{v_z - \frac{ip}{k}}$

3. An solution $\tilde{\Phi}(k, p)$ is then given by

Pieces of Solution due to:

$$\tilde{\Phi}(p, k) = \frac{-i \sum_s \frac{q_s n_0}{\epsilon_0 k^3} \int_{-\infty}^{\infty} dv_z \frac{F_s(v)}{v_z - \frac{ip}{k}}}{1 - \sum_s \frac{\omega_{ps}^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial f_{s0} / \partial v_z}{v_z - \frac{ip}{k}}}$$

} Poles in Numerator
 } zeros in Denominator
 $D(p, k) = 0$ is
 Normal Modes!

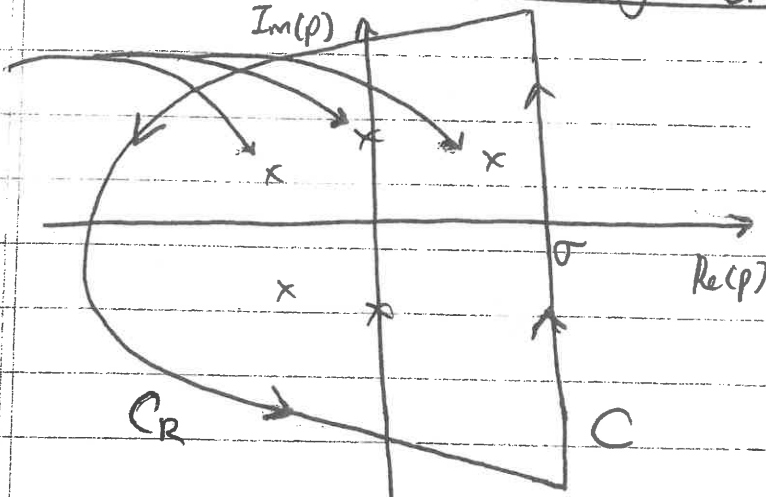
4. We want to find

$\phi(k, t) = \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{\Phi}(k, p) e^{pt}$

Using the Residue Theorem.

G. Evaluation of $\phi(k, t)$ Using Residue Theorem

Poles of $\tilde{\Phi}(p, k)$



1. To Evaluate $\phi(k, t)$ using the Residue Theorem, we close the contour by completing the loop at $Re(p) \rightarrow -\infty$ (This is section C_R)

Thus $\int_C dp \tilde{\Phi}(k, p) e^{pt} = \int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{\Phi}(k, p) e^{pt} + \int_{C_R} dp \tilde{\Phi}(k, p) e^{pt}$

Lecture #16 (Continued)
T. G. (Continued)

Hawes (4)

2a. To evaluate contour integral using the Residue Theorem requires that $\tilde{\Phi}(k, p)$ be analytic within and on contour C .

b. But, the function $\tilde{\Phi}(k, p)$ was only defined for $\text{Re}(p) > 0$

\Rightarrow Thus we must analytically continue $\tilde{\Phi}(k, p)$ to the negative Real half plane $\text{Re}(p) < 0$.

c. This is not straight forward due to the \sqrt{z} -integral in both $D(p, k)$ and $N(p, k)$. For example,

$$D(p, k) = 1 - \sum_S \frac{v_{ps}^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial f_{s0} / \partial v_z}{v_z - \frac{ip}{k}}$$

d. This function is discontinuous on the line $\text{Re}(p) = 0$.

Why? ① Remember $p = \delta - i\omega$, so the denominator is

$$v_z - \frac{1}{k}(\delta - i\omega) = v_z - \frac{\omega}{k} - \frac{i\delta}{k}$$

② If $\text{Re}(p) = \delta = 0$, then we have $\int_{-\infty}^{\infty} dv_z \frac{\partial f_{s0} / \partial v_z}{v_z - \frac{i\omega}{k}}$

and the integral becomes undefined at $v_z = \frac{\omega}{k}$.

e. Since we must perform our contour integral over the entire complex plane p , this problem at $\text{Re}(p) = 0$ must be resolved.

H. Landau's Analytic Continuation of $D(p, k)$ and $N(p, k)$

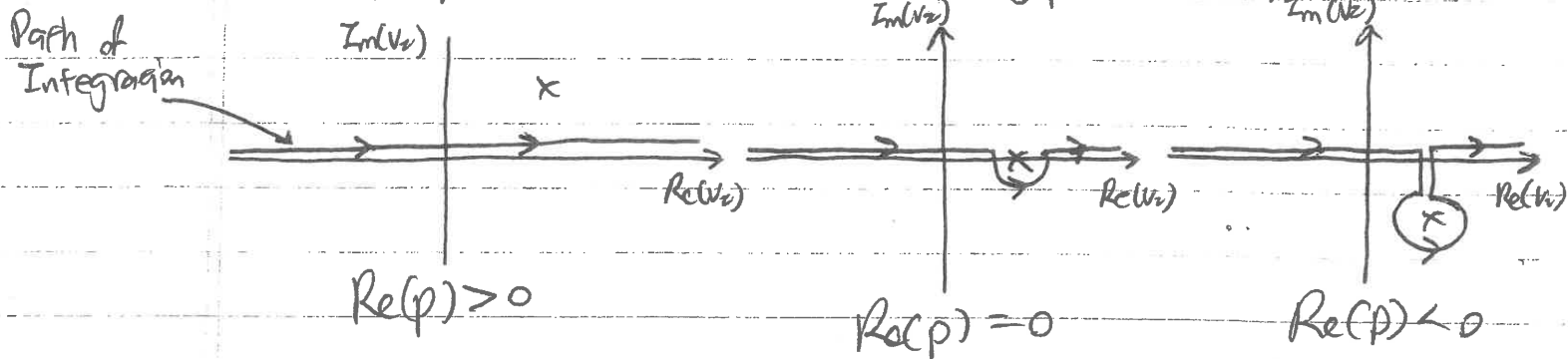
1. Landau solved this problem by carrying out a careful analytic continuation of $D(p, k)$ and $N(p, k)$ to $\text{Re}(p) < 0$.

Lecture #16 (Continued)

Pages 5

I. H. (Continued)

2. Consider the case $k > 0$ ($k < 0$ is analogous). The pole at $v_z = \frac{i p}{k}$ then lies at the following points in complex v_z space.



a. Treating the integral $\int_{-\infty}^{\infty} dv_z$ as a contour integration in complex v_z space, Landau discovered the contour of integration so that it always passes below the pole in v_z space.

b. In this way, the functions $D(p, k)$ and $N(p, k)$ [and thus $\tilde{\phi}(p, k)$] are analytically continued into the $\text{Re}(p) < 0$ half of the complex p plane.

c. Now we can go ahead and use the Residue Theorem to evaluate $\int_{\sigma-i\infty}^{\sigma+i\infty} \tilde{\phi}(p, k) e^{p\tau}$.

3. a. We'll look at concrete examples of this v_z integration soon.

b. For Maxwellian equilibrium distribution, this gives rise to the Plasma Dispersion Function.

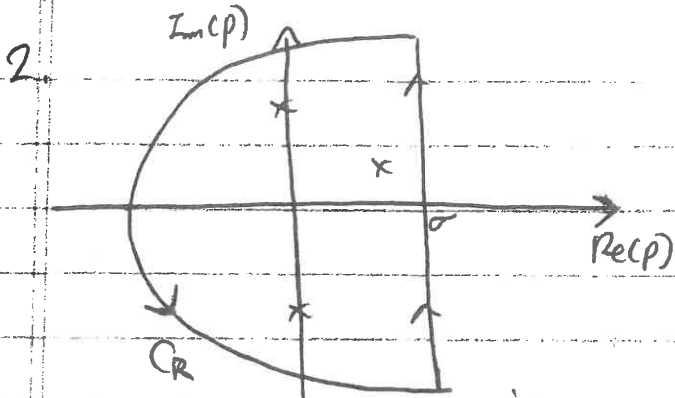
I. Evaluation of $\phi(k, \tau)$

1. Remember
$$f(\tau) = \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} f(p) e^{p\tau}$$

Lecture #16 (Continued)

Howes 6

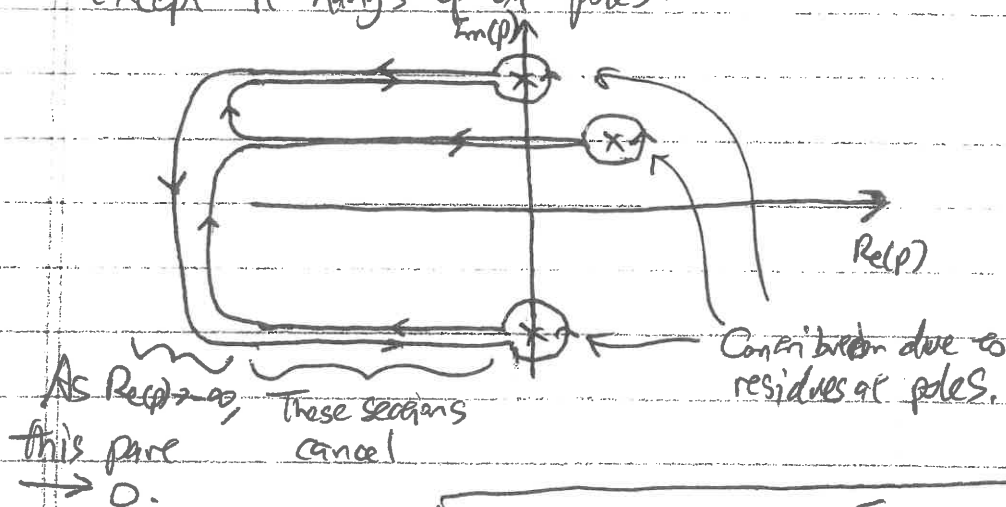
I. I. (Continued)



a.

$$\int_C dp \tilde{\phi}(k, p) e^{pt} = \underbrace{\int_{\sigma-i\infty}^{\sigma+i\infty} \tilde{\phi}(k, p) e^{pt}}_{= 2\pi i \phi(k, t)} + \underbrace{\int_{C_R} dp \tilde{\phi}(k, p) e^{pt}}_{\substack{= 2\pi i \sum_{\substack{\text{Res } \tilde{\phi}(k, p) \\ p \in C_R}} \\ \text{As } \text{Re}(p) \rightarrow -\infty}}$$

b. By deformation of paths, we can see that the only contribution to integral is due to residues. Deform C to $\text{Re}(p) \rightarrow -\infty$, except it hangs up at poles:



3. Thus, we find

$$\phi(k, t) = \sum_j \text{Res} \left[\tilde{\phi}(k, p) e^{pt} \right]_{p=p_j}$$

4. Remember, p 's are complex, $p = \gamma - i\omega$, so solutions typically have a behavior, $\sim e^{\gamma t} e^{-i\omega t}$, oscillatory with frequency ω and a growth rate for $\gamma > 0$, or damping rate for $\gamma < 0$.

II. Solution for Cauchy Velocity Distribution

A. Cauchy Velocity Distribution

1. A simple analytical distribution function is

DEF: Cauchy Reduced Velocity Distribution $F_0^c(v_z) = \frac{c}{\pi} \left(\frac{1}{c^2 + v_z^2} \right)$

a. NOTE: $\int_{-\infty}^{\infty} dv_z F_0^c(v_z) = 1$

2. Consider ions immobile, so $F_{0i} = F_{0e}$ and $R_1 = 0$.

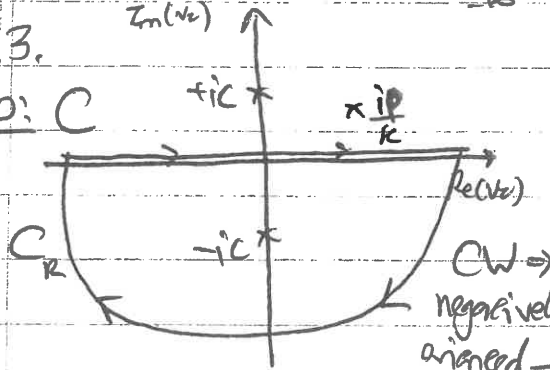
B. Velocity Integral over v_z

1. Our Dispersion Relation is $D(p, k) = 1 - \frac{\omega_p^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial F_0 / \partial v_z}{v_z - \frac{i p}{k}}$

where we only consider the electron contribution since ions are immobile.

2. We can integrate by parts (as done in lect # 5, II. F. 3.) to yield

$$D(p, k) = 1 - \frac{\omega_p^2}{k^2 \pi} \int_{-\infty}^{\infty} dv_z \frac{F_0}{(v_z - \frac{i p}{k})^2} = 1 - \frac{\omega_p^2 c}{k^2 \pi} \int_{-\infty}^{\infty} dv_z \frac{1}{(v_z - ic)(v_z + ic)(v_z - \frac{i p}{k})^2}$$



For $k > 0$: C

a. Close at $Im(v_z) \rightarrow -\infty$

b. Let $g(v_z) = \frac{1}{(v_z - ic)(v_z + ic)(v_z - \frac{i p}{k})^2}$

c. Thus $\int_C g(v_z) = \int_{-\infty}^{\infty} dv_z g(v_z) + \int_{CR} g(v_z)$

$= -2\pi i \sum_{v_z = v_{zj}} \text{Res}[g(v_z)]$ as $Im(v_z) \rightarrow -\infty$ (Really $|v_z| \rightarrow \infty$)

d. Thus for pole at $v_z = -ic$

$$= -2\pi i \frac{1}{(-2ic)(-ic - \frac{i p}{k})^2} = \frac{\pi}{c} \frac{-1}{(c + \frac{p}{k})^2}$$

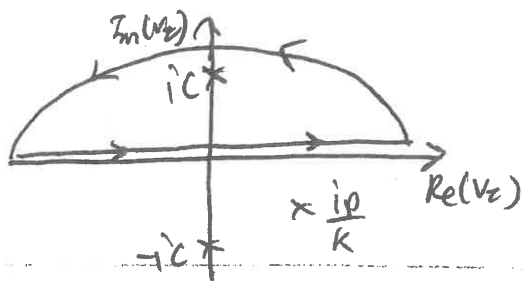
e. So, we find for $k > 0$:

$$D(p, k) = 1 + \frac{\omega_p^2 c}{k^2 \pi} \frac{\pi}{c} \frac{+1}{(c + \frac{p}{k})^2} = 1 + \frac{\omega_p^2}{(p + kc)^2}$$

Lecture # 16 (Continued)

III. B. (Continued).

4. Similarly for $k < 0$



Homes (8)

a. Close in upper half plane $\text{Im}(v_z) \rightarrow \infty$ (CCW orientation).

b. Thus
$$\int_{-\infty}^{\infty} dv_z g(v_z) = 2\pi i \sum_j \text{Res}_{v_z = v_{zj}} [g(v_z)] \rightarrow \text{pole at } v_z = +ic$$

$$= 2\pi i \frac{1}{2ic(ic - \frac{ip}{k})^2} = \frac{-\pi}{c} \frac{1}{(c - \frac{p}{k})^2}$$

c. Thus $D(p, k) = 1 + \frac{\omega_p^2}{(p - kc)^2}$

5. Noting that for $k > 0$, $k = |k|$ and for $k < 0$, $k = -|k|$, we can write these as a single equation

$$D(p, k) = 1 + \frac{\omega_p^2}{(p + |k|c)^2} = 0$$

b. NOTE: Since this solution is a polynomial, analytic continuation to the $\text{Re}(p) < 0$ plane is trivial.

6. Roots of dispersion relation are $p = -|k|c \pm i\omega_p$

C. Solving for $N(k, p)$

Initial condition on ρ_{s1}

1.
$$N(k, p) = -i \sum_s \frac{q_s n_{0s}}{\epsilon_0 k^3} \int_0^\infty dv_z \frac{F_s(k, v_z, 0)}{v_z + ip/k}$$

a. If we have a specific form for the initial conditions $F_s(k, v, 0)$, then we can perform the integral analogous to the procedure above.

b. An important point is that, as long as $F_s(k, v, 0)$ do not have any singularities or discontinuities, the result of the integration will not have any singularities. \rightarrow Thus, no poles in $N(k, p)$

II. C. (Continued)

2. Rather than solve for a specific form of $F_S(\underline{k}, \underline{v}, 0)$, we note

$$\tilde{\phi}(\underline{k}, p) \underbrace{D(\underline{k}, p)}_{\text{Dispersion Relation}} = \underbrace{N(\underline{k}, p)}_{\text{Initial Conditions}} \quad (\text{see I.E. 2, a. earlier})$$

a. We simply denote $N(\underline{k}, p) = \frac{1}{\omega p} \phi(\underline{k}, 0)$ since it is determined by the initial conditions.

b. Thus $\tilde{\phi}(\underline{k}, p) = \frac{\phi(\underline{k}, 0)}{\omega p D(p, \underline{k})} = \frac{\phi(\underline{k}, 0)}{\omega p (1 + \frac{\omega p^2}{(p + k/c)^2})} = \frac{(p + k/c)^2 \phi(\underline{k}, 0)}{[(p + k/c)^2 + \omega p^2] \omega p}$

D. Completing Solution for $\phi(\underline{k}, t)$

1. As we solved earlier (I. I. 3.), $\phi(\underline{k}, t) = \sum_{p=p_j} \text{Res} \left[\tilde{\phi}(\underline{k}, p) e^{pt} \right]$

a. Here $\tilde{\phi}(\underline{k}, p) e^{pt} = \frac{(p + k/c)^2 \phi(\underline{k}, 0) e^{pt}}{(p + k/c - i\omega p)(p + k/c + i\omega p) \omega p}$

Poles are roots $p = -k/c + i\omega p$ & $p = -k/c - i\omega p$

2. Thus
$$\begin{aligned} \phi(\underline{k}, t) &= \frac{(-k/c + i\omega p + k/c)^2 \phi(\underline{k}, 0) e^{-k/c t} e^{i\omega p t}}{(-k/c + i\omega p + k/c - i\omega p) \omega p} \\ &+ \frac{(-k/c - i\omega p + k/c)^2 \phi(\underline{k}, 0) e^{-k/c t} e^{-i\omega p t}}{(-k/c - i\omega p + k/c + i\omega p) \omega p} \\ &= \frac{-\omega p^2 \phi(\underline{k}, 0) e^{-k/c t} e^{i\omega p t}}{2i\omega p^2} + \frac{-\omega p^2 \phi(\underline{k}, 0) e^{-k/c t} e^{-i\omega p t}}{-2i\omega p^2} \end{aligned}$$

$$\phi(\underline{k}, t) = -\phi(\underline{k}, 0) e^{-k/c t} \left(\frac{e^{i\omega p t} - e^{-i\omega p t}}{2i} \right) = -\phi(\underline{k}, 0) \sin(\omega p t) e^{-k/c t} = \phi(\underline{k}, t)$$