

## Lecture #7: Key Results on Kinetic Turbulence: Inertial Range

Ref: Howes, G.G. "Kinetic Turbulence," in Magnetic Fields in Diffuse Media, ed. de Gouveia Dal Pino & Lazarian, Springer-Heidelberg (2015)

### I. The Inertial Range of the Kinetic Turbulence Cascade

#### A. The Initiation of the Turbulent Cascade

1. Whatever the supply of energy at large scales (either decaying large-scale fluctuations in the energy containing range, or driven fluctuations at a large scale), when fluctuations at a given scale  $L \sim \frac{1}{k_0}$  have evolved for a nonlinear cascade time  $T_{nl} \sim \frac{1}{\omega_{nl}}$ , the fluctuations can nonlinearly transfer their energy to smaller scales, initiating the turbulent cascade.

2. "Outer Scale" of turbulence:

a. Typically large scale

$$\boxed{k_0 \rho_i \ll 1} \Rightarrow \frac{\rho_i}{L} \ll 1$$

b. Thus MHD is a useful starting point for inertial range physics.

### 3. Strong Turbulence

a. Lecture #2 - Weak MHD Turbulence

b. Lecture #3 - Strong MHD Turbulence

⇒ Turbulence generally transitions to strong turbulence, so we'll focus here on Strong turbulence ( $\rho_i \sim 1$ ) at outer scale.

4. At the over scale, the turbulent fluctuations are a mixture of

Three propagating MHD wave modes:

- Alfven waves  $\rightarrow$  Incompressible
- Fast magnetoacoustic waves  $\left. \begin{array}{l} \text{Fast magnetoacoustic waves} \\ \text{Slow magnetoacoustic waves} \end{array} \right\}$  Compressible
- Slow magnetoacoustic waves

Non-propagating ( $\omega=0$ ): Entropy Mode  $\rightarrow$  Compressible

### B. Turbulent Cascade

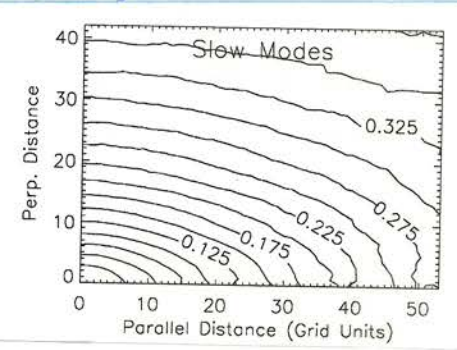
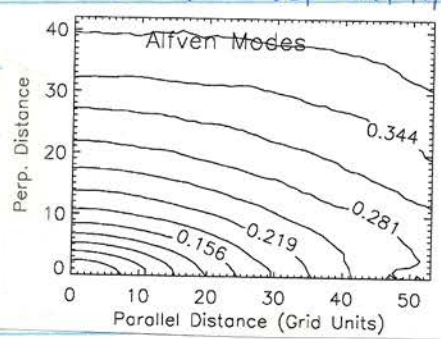
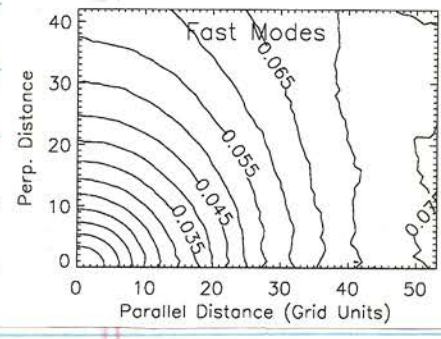
1. Early numerical results and analytical theory established some important properties of MHD turbulence.

2. Fast waves decouple from Alfven, slow, & entropy modes

isotropic cascade

anisotropic cascade

(Cho & Lazarian, 2003)



b. Entropy modes follow the Alfvenic cascade like a passive scalar (Marin & Goldreich, 2001)

3. Isotropic Fast Wave Cascade

a. Magnetic Energy Spectrum:  $E_B(k) \propto k^{-\frac{3}{2}}$  (Cho & Lazarian, 2003)

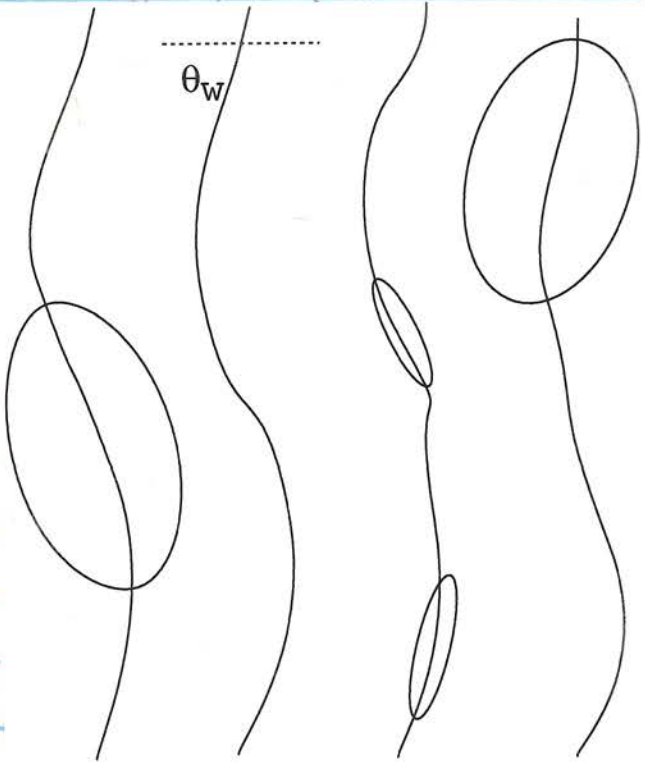
4. Anisotropic Alfvénic Cascade

a. Energy Spectrum:  $E_B(k_{\perp}) \propto k_{\perp}^p$   $\begin{cases} p = -\frac{5}{3} & \text{GS95} \\ & \text{(Goldreich & Sridhar, 1995)} \\ p = -\frac{3}{2} & \text{B06} \\ & \text{(Boldyreva, 2006)} \end{cases}$

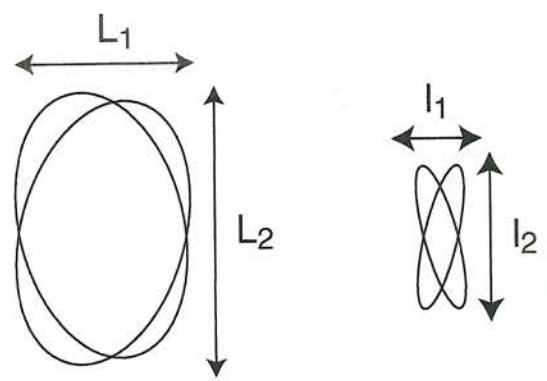
b. Wavevector Anisotropy:  $k_{\parallel} = k_{\perp}^{1-q} k_{\perp}^q$   $\begin{cases} q = \frac{2}{3} & \text{GS95} \\ q = \frac{1}{2} & \text{B06} \end{cases}$

c. Numerical Measurement of Anisotropy:

i) Requires measured SB relative to the local (not global) magnetic field.



(a)



(b)

(Cho & Vishniac, 2000)

- ii) Fourier Analysis will fail (global)
- iii) Requires projection relative local  $\mathbf{B} \Rightarrow$  structure functions can work.

~~4~~ (Continued)

d. Slow waves & entropy mode fluctuations are passively cascaded by the Alfvén waves,  
(Mann & Goldreich, 2001) (Lithwick & Goldreich, 2001)

e. Alfvén waves (and passive slow waves) obey critical balance.

$$k_{\parallel} \propto k_{\perp}^{2/3} \quad (\text{Mann \& Goldreich, 2001; Cho, Lazarian, \& Vishniac, 2002; Cho \& Vishniac, 2003})$$

5. Why do fast waves decouple from Alfvén & slow waves?

a. Anisotropy of Alfvénic cascade leads to  $k_{\parallel} \ll k_{\perp}$  at small scales.

b. Fast Wave:  $\omega \approx k \sqrt{v_A^2 + c_s^2} \approx k v_A \sqrt{1 + \beta_i \left(1 + \frac{T_e}{T_i}\right)}$  (Haves, Klein, & Ten Berge, 2014)

Alfvén Wave:  $\omega = k_{\parallel} v_A$

c. Frequency mismatch in anisotropic limit if  $k_{\parallel} \ll k_{\perp}$

$$\frac{\omega_F}{\omega_A} = \frac{k v_A \sqrt{1 + \beta_i \left(1 + \frac{T_e}{T_i}\right)}}{k_{\parallel} v_A} = \frac{k}{k_{\parallel}} \left[1 + \beta_i \left(1 + \frac{T_e}{T_i}\right)\right]^{1/2} \geq \frac{k_{\perp}}{k_{\parallel}} \gg 1$$

$$\Rightarrow \omega_F \gg \omega_A$$

With vastly different frequencies, Alfvén waves and fast waves will not efficiently exchange energy.

(Lithwick & Goldreich, 2001; Haves et al., 2012)

## C. Nonlinear Physics of Alfvén Wave Cascade

1. Incompressible MHD is the simplest model that reproduces anisotropic, magnetized plasma turbulence.
- Fast waves are excluded
  - Alfvén waves and pseudo-Alfvén waves (the incompressible limit of slow waves) are included.

### 2. Equations of Incompressible MHD (Elsässer Form)

$$a. \frac{\partial \underline{z}^{\pm}}{\partial t} \mp \underline{v}_A \cdot \nabla \underline{z}^{\pm} = - \underline{z}^{\mp} \cdot \nabla \underline{z}^{\pm} - \frac{\nabla p}{\rho_0}$$

$$b. \nabla \cdot \underline{z}^{\pm} = 0$$

c. where Elsässer field  $\underline{z}^{\pm} \equiv \underline{u} \pm \frac{\delta \underline{B}}{\sqrt{4\pi\rho_0}}$   $\text{and } \underline{v}_A = \frac{B_0}{\sqrt{4\pi\rho_0}}$

$\underline{z}^{\pm}$  Represents Alfvén waves propagating up/down magnetic field.

### 3. Linear and Nonlinear Physics of each term

$$\frac{\partial \underline{z}^{\pm}}{\partial t} \mp \underline{v}_A \cdot \nabla \underline{z}^{\pm} = \underbrace{- \underline{z}^{\mp} \cdot \nabla \underline{z}^{\pm}} - \underbrace{\frac{\nabla p}{\rho_0}}$$

Linear wave propagation up/down  $B_0$

$\Rightarrow$  Requires non-zero  $k_{\parallel}$

Nonlinear interaction between up/down Alfvén waves

$\Rightarrow$  Requires both components perpendicular to  $B_0$

Nonlinear term maintains incompressibility

4. Early MHD turbulence studies recognized that interactions between counterpropagating Alfvén waves governed the turbulence cascade (Kraichnan, 1965)  
 $\Rightarrow$  known as "Alfvén Wave collisions"

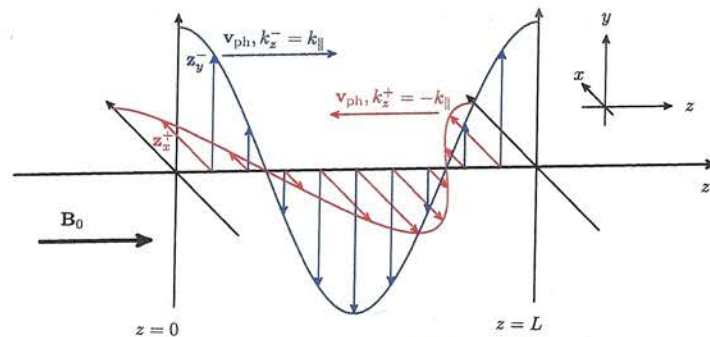
5a. Weak MHD turbulence theory was based on these Alfvén wave collisions (see Lec #2) (Sridhar & Goldreich, 1994)

b. Controversy about 3- vs 4-wave interactions arose (see Sec. II, B. 3 of Lec #2)

(Shebalin, Matthaeus, & Montgomery, 1983; Montgomery & Matthaeus, 1995; Ng & Bhattacharjee, 1986; Goldreich & Sridhar, 1997; Galtier, et al., 2000; Lithwick & Goldreich, 2003)

6. Hawes & Nielson (2013) solved for the energy transfer in Alfvén wave collisions analytically, (Hawes & Nielson, 2013) and numerically (Nielson, Hawes, & Dardanel, 2013)

a. Set-up: Two Counterpropagating, Perpendicularly polarized Alfvén Waves.



$\Rightarrow$  Periodic BCs.

$$\underline{k}_1^+ = k_{\perp} \hat{y} - k_{\parallel} \hat{z}$$

$$\underline{k}_1^- = k_{\perp} \hat{y} + k_{\parallel} \hat{z}$$

I, C (Continued)

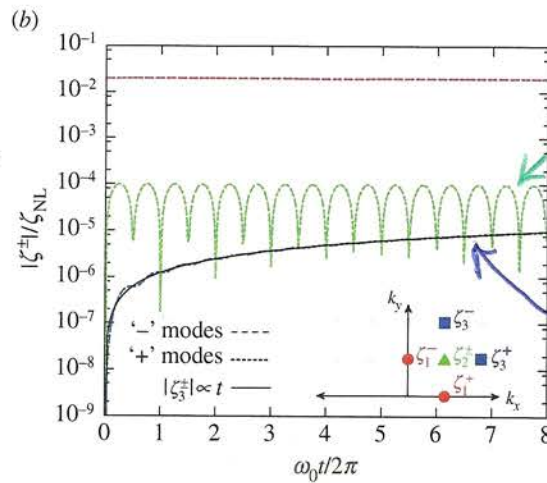
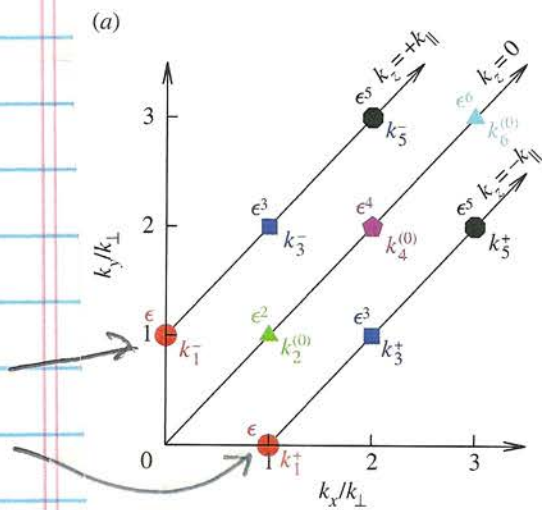
Pages 7

G. (Continued)

b. Energy transfer:

In the weakly nonlinear limit ( $\mathcal{R} = \frac{k_{\perp} z^{\pm}}{k_{\parallel} v_A} \ll 1$ ),

Initial  
Alfvén  
Waves



$k_2^{(0)}$  does  
not gain  
net energy

$k_3^{\pm}$  modes  
Secularly  
gain  
energy

i) First  $\underline{k}_1^+$  &  $\underline{k}_1^-$  interact nonlinearly to generate a secondary mode  $\underline{k}_2^{(0)} = k_{\perp} \hat{x} + k_{\perp} \hat{y}$

$\Rightarrow$  This  $\underline{k}_2^{(0)}$  mode is essentially a shear in the magnetic field.

ii) Next,  $\underline{k}_1^+$  &  $\underline{k}_1^-$  each interact with  $\underline{k}_2^{(0)}$  to transfer energy secularly to

$$\underline{k}_3^+ = 2k_{\perp} \hat{x} + k_{\perp} \hat{y} - k_{\parallel} \hat{z}$$

$$\underline{k}_3^- = k_{\perp} \hat{x} + 2k_{\perp} \hat{y} + k_{\parallel} \hat{z}$$

$\Rightarrow$   $k_{\parallel}$  remains constant,  $k_{\perp}$  increases

c. Repeating:  $k_{\perp}$  remains constant  $\leftarrow$  As expected for weak MHD turbulence

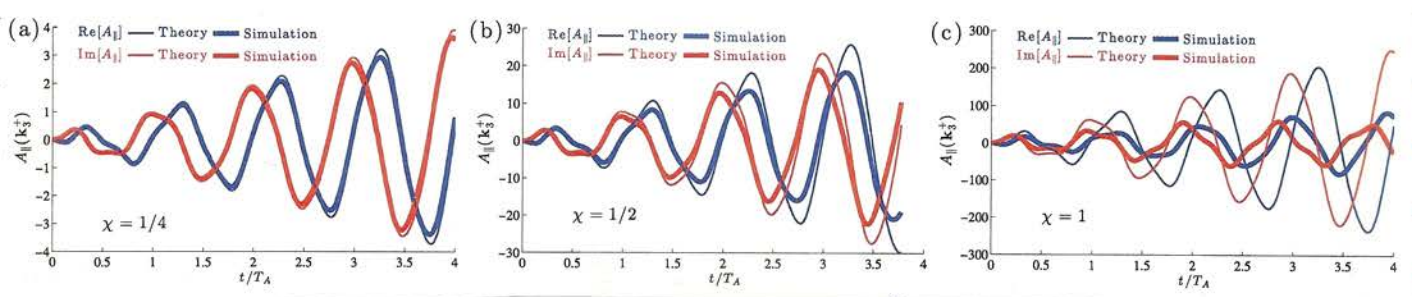
$k_{\perp}$  increases  $\leftarrow$  This is the energy cascade to smaller perpendicular scales

d. The physics of this energy transfer has been verified experimentally in UCLA's Large Plasma Device (LAPD) (Drake et al. 2013; Hawes et al. 2012)

e. Alfvén Wave collisions are the fundamental building block of astrophysical plasma turbulence. (Hawes & Nielson, 2013; Hawes et al. 2012; Hawes, 2015)

7. Additional Results for Alfvén Wave Collisions

a. The physics of nonlinear energy transfer persists qualitatively in the limit of strong turbulence,  $\chi \rightarrow 1$ .



(Hawes, 2016)

b. In the limit of strong turbulence, phase and amplitude relations among primary & nonlinearly generated modes yield current sheets (another topic) (Hawes, 2016; Verniero, Hawes, & Klein, 2018)



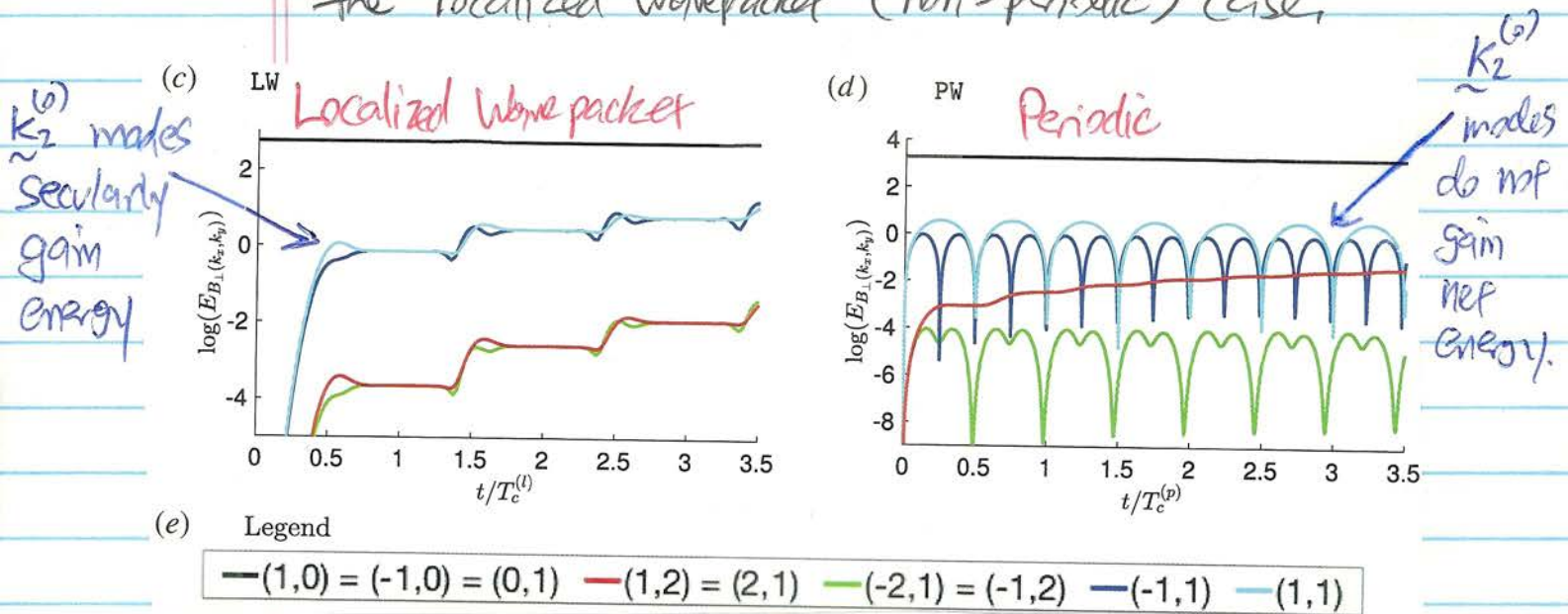
Z. C. (Continued)

Hones 9

7. (Continued)

c. Under more realistic, non-periodic conditions, the secondary modes  $k_2^{(s)}$  are simply Alfvén waves (Vermiero & Hones, 2018)

d. These secondary modes also secularly gain energy in the localized wavepacket (non-periodic) case.



(Vermiero & Hones, 2018)

8. What remains to be done to connect Alfvén Wave Collisions to Alfvénic turbulence?

a. Connect physics of many nonlinearly interacting wavepackets to the resulting energy spectrum

b. Establish connection between Alfvén wave collisions and the physics of dynamic alignment (in B06).

D. Collisional to Collisionless Transition

1. MHD is formally valid in the strongly collisional limit,  $k_{\parallel} \lambda_i \sim \frac{\lambda_i}{l} \ll 1$   
 $l \leftarrow$  scale of fluctuations.

a. Note that  $\lambda_i$  is the ion collisional mean free path.

b. Due to the magnetic field, ions can only travel a distance  $\lambda_{\perp} \sim \rho_i$  ~~from~~ perpendicular to  $\underline{B}_0$ , so the collisional transition relates to parallel direction,  $\lambda_{\parallel} \sim \frac{1}{k_{\parallel}}$ .

c. The magnetic field effectively makes perpendicular motions fluid-like.

2. At  $k_{\parallel} \lambda_i \sim 1$ , turbulence transitions from collisional (MHD) to collisionless (requiring kinetics).

3. Because fast waves are isotropic & Alfvén waves anisotropic, the perpendicular wavenumber  $k_{\perp}$  associated with  $k_{\parallel} \lambda_i \sim 1$  differs:

a. Alfvén waves:  $k_{\parallel} = k_0 \frac{v_0}{v} k_{\perp} = k_0 \left(\frac{k_{\perp}}{k_0}\right)^2$

$$\Rightarrow k_{\perp} = k_0 \left(\frac{k_{\parallel}}{k_0}\right)^{\frac{1}{2}} = k_0 \frac{(k_{\parallel} \lambda_i)^{\frac{1}{2}}}{(k_0 \lambda_i)^{\frac{1}{2}}} = \boxed{k_0 (k_0 \lambda_i)^{-\frac{1}{2}} = k_{\perp c}}$$

b. Fast waves:  $k_{\parallel} = k_{\perp} \Rightarrow \boxed{k_{\perp c} \lambda_i = 1}$

c. Since  $q < 1$ , fast wave reach collisional transition first.

4. Where does collisionless transition  $k_{lc}$  fall?

a. In cold astrophysical plasmas at very large scales [e.g., "The Crab Power Law in the Sky", (Armstrong, Rickett, & Spangler, 1995)], the collisional transition falls in the inertial range,  $k_{lc} > k_0$  and  $k_{lc} \rho_i \ll 1$ .

$$\left( \text{or } \frac{1}{k_0} > \frac{1}{k_{lc}} > \frac{1}{\rho_i} \right)$$

b. In the hot and diffuse solar wind,  $k_{lc} < k_0$ , so the entire inertial range is weakly collisional to collisionless.

$$\lambda_i \sim 1 \text{ AU} \sim 1.5 \times 10^{13} \text{ cm}$$

$$\frac{1}{k_0} \sim 10^{11} \text{ to } 10^{12} \text{ cm.}$$

5. What happens to the cascade at  $k_{lc}$ ?

a. For compressible waves (fast waves, slow waves, entropy modes), fluctuations suffer strong collisional damping by ion viscosity at  $k_{lc} \lambda_i \sim 1$  (or  $k_{lc} \sim k_{lc}$ ). (Braginskii, 1965)

b. Any compressible energy passing through this transition is expected to manifest as the kinetic counterparts of the MHD fast & slow waves (e.g., Klein et al. (2012)) for weakly collisional conditions at  $k_{lc} \gg k_{lc}$  (Schekochihin et al. 2009)

Z.D. (Continued)

Homest 12

5. (Continued)

c. Alfvén Waves:

- i. Alfvén waves are incompressible, with no moches along  $B_0$
- ii. Thus, they are unaffected by the collisionless transition and continue undamped down to  $k_{\perp} \rho_i \sim 1$ . (Schekochihin, et al., 2009)

E. Inertial Range: Between Collisional Transition & Ion Scales

l. Anisotropic Fluctuations:  $k_{\parallel} \ll k_{\perp}$

a. Critical balance predicts  $\frac{k_{\parallel}}{k_{\perp}} = \left(\frac{k_0}{k_{\perp}}\right)^{1/2}$

b. Since  $q < 1$  for either GS95 or B76,

when  $k_{\perp} \gg k_0$  (small scales compared to outer scale)

we have  $k_{\parallel} \ll k_{\perp}$

a. In this  $k_{\parallel} \ll k_{\perp}$  limit:

i) Kinetic dynamics of anisotropic Alfvénic fluctuations is rigorously described by reduced MHD (Strauss, 1976)

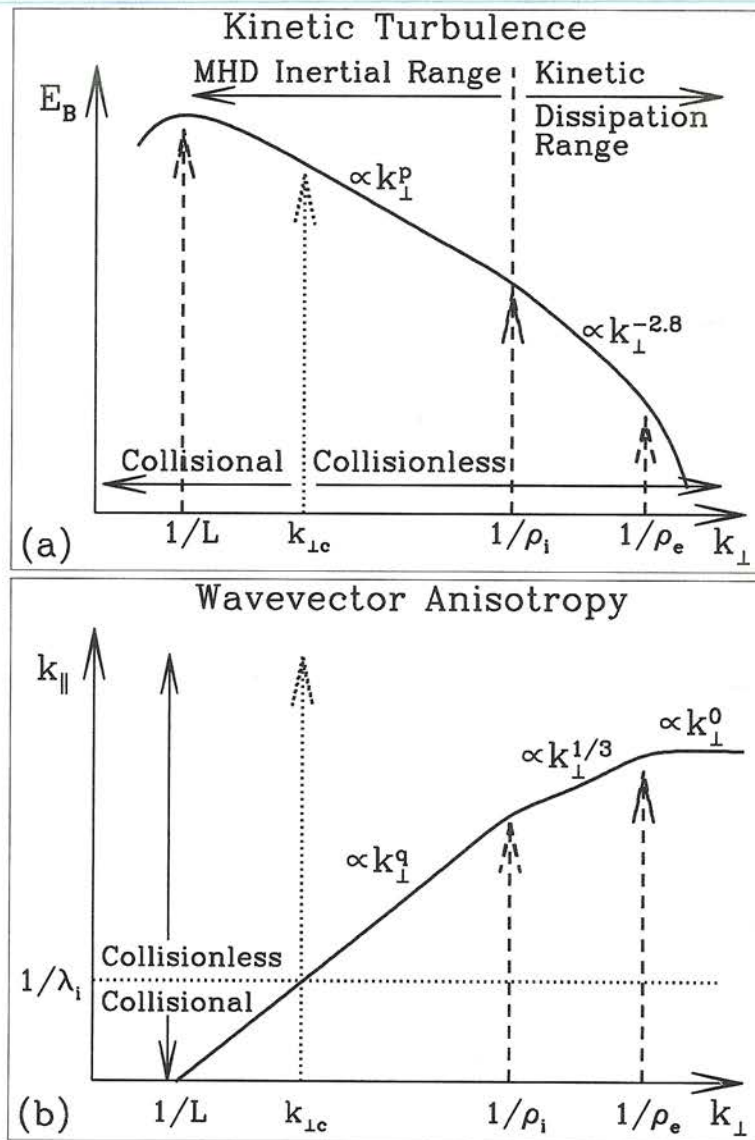
ii) Alfvén wave cascade is undamped down to  $k_{\perp} \rho_i \sim 1$

iii) Slow & Alfvén wave cascades don't exchange energy

iv) Fast <sup>waves</sup> don't interact due to mis match in frequency.

(Schekochihin, et al. 2009)  
=> "The Tame"

# E. Summary of Inertial Range in Kinetic Turbulence



**Fig. 2** (a) Perpendicular wavenumber spectrum for magnetic energy in kinetic turbulence, from the driving scale,  $L$ , through the MHD inertial range to the ion Larmor radius  $\rho_i$ , where the turbulent cascade enters the kinetic dissipation range, and down to the electron Larmor radius  $\rho_e$ . The transition from collisional to collisionless dynamics occurs at  $k_{\perp} \rho_i \sim 1$ . (b) Wavevector anisotropy in kinetic turbulence, scaling as  $k_{\perp}^q$  in the MHD inertial range,  $k_{\perp}^{1/3}$  in the kinetic dissipation range, and  $k_{\perp}^0$  (no parallel cascade) beyond electron scales. The transition from collisional to collisionless dynamics occurs at  $k_{\perp} \rho_i \sim 1$ .

# Bibliography

- Boldyrev, S. (2006). Spectrum of Magnetohydrodynamic Turbulence. *Phys. Rev. Lett.*, 96(11):115002.
- Braginskii, S. I. (1965). Transport Processes in a Plasma. *Rev. Plasma Phys.*, 1:205–+.
- Cho, J. and Lazarian, A. (2003). Compressible magnetohydrodynamic turbulence: mode coupling, scaling relations, anisotropy, viscosity-damped regime and astrophysical implications. *Mon. Not. Roy. Astron. Soc.*, 345:325–339.
- Cho, J., Lazarian, A., and Vishniac, E. T. (2002). Simulations of Magnetohydrodynamic Turbulence in a Strongly Magnetized Medium. *Astrophys. J.*, 564:291–301.
- Cho, J. and Vishniac, E. T. (2000). The Anisotropy of Magnetohydrodynamic Alfvénic Turbulence. *Astrophys. J.*, 539:273–282.
- Drake, D. J., Schroeder, J. W. R., Howes, G. G., Kletzing, C. A., Skiff, F., Carter, T. A., and Auerbach, D. W. (2013). Alfvén wave collisions, the fundamental building block of plasma turbulence. IV. Laboratory experiment. *Phys. Plasmas*, 20(7):072901.
- Galtier, S., Nazarenko, S. V., Newell, A. C., and Pouquet, A. (2000). A weak turbulence theory for incompressible magnetohydrodynamics. *J. Plasma Phys.*, 63:447–488.
- Goldreich, P. and Sridhar, S. (1995). Toward a Theory of Interstellar Turbulence II. Strong Alfvénic Turbulence. *Astrophys. J.*, 438:763–775.
- Howes, G. G. (2014). The inherently three-dimensional nature of magnetized plasma turbulence. *Journal of Plasma Physics*, FirstView:1–19.
- Howes, G. G. (2015). A dynamical model of plasma turbulence in the solar wind. *Philosophical Transactions of the Royal Society of London A: Mathematical, Physical and Engineering Sciences*, 373(2041):20140145.
- Howes, G. G. (2015). *Magnetic Fields in Diffuse Media*, chapter Kinetic Turbulence. Springer, Heidelberg.

- Howes, G. G., Bale, S. D., Klein, K. G., Chen, C. H. K., Salem, C. S., and TenBarge, J. M. (2012). The Slow-mode Nature of Compressible Wave Power in Solar Wind Turbulence. *Astrophys. J. Lett.*, 753:L19.
- Howes, G. G., Klein, K. G., and TenBarge, J. M. (2014). Validity of the Taylor Hypothesis for Linear Kinetic Waves in the Weakly Collisional Solar Wind. *Astrophys. J.*, 789:106.
- Howes, G. G. and Nielson, K. D. (2013). Alfvén wave collisions, the fundamental building block of plasma turbulence. I. Asymptotic solution. *Phys. Plasmas*, 20(7):072302.
- Klein, K. G., Howes, G. G., TenBarge, J. M., Bale, S. D., Chen, C. H. K., and Salem, C. S. (2012). Using Synthetic Spacecraft Data to Interpret Compressible Fluctuations in Solar Wind Turbulence. *Astrophys. J.*, 755:159.
- Kraichnan, R. H. (1965). Inertial range spectrum of hydromagnetic turbulence. *Phys. Fluids*, 8:1385–1387.
- Lithwick, Y. and Goldreich, P. (2001). Compressible magnetohydrodynamic turbulence in interstellar plasmas. *Astrophys. J.*, 562:279–296.
- Lithwick, Y. and Goldreich, P. (2003). Imbalanced weak magnetohydrodynamic turbulence. *Astrophys. J.*, 582:1220–1240.
- Maron, J. and Goldreich, P. (2001). Simulations of incompressible magnetohydrodynamic turbulence. *Astrophys. J.*, 554:1175–1196.
- Montgomery, D. and Matthaeus, W. H. (1995). Anisotropic Modal Energy Transfer in Interstellar Turbulence. *Astrophys. J.*, 447:706.
- Ng, C. S. and Bhattacharjee, A. (1996). Interaction of Shear-Alfvén Wave Packets: Implication for Weak Magnetohydrodynamic Turbulence in Astrophysical Plasmas. *Astrophys. J.*, 465:845.
- Nielson, K. D., Howes, G. G., and Dorland, W. (2013). Alfvén wave collisions, the fundamental building block of plasma turbulence. II. Numerical solution. *Phys. Plasmas*, 20(7):072303.
- Schekochihin, A. A., Cowley, S. C., Dorland, W., Hammett, G. W., Howes, G. G., Quataert, E., and Tatsuno, T. (2009). Astrophysical Gyrokinetics: Kinetic and Fluid Turbulent Cascades in Magnetized Weakly Collisional Plasmas. *Astrophys. J. Supp.*, 182:310–377.
- Shebalin, J. V., Matthaeus, W. H., and Montgomery, D. (1983). Anisotropy in mhd turbulence due to a mean magnetic field. *J. Plasma Phys.*, 29:525–547.
- Sridhar, S. and Goldreich, P. (1994). Toward a theory of interstellar turbulence. 1: Weak Alfvénic turbulence. *Astrophys. J.*, 432:612–621.

- Strauss, H. R. (1976). Nonlinear, three-dimensional magnetohydrodynamics of noncircular tokamaks. *Phys. Fluids*, 19:134–140.
- Verniero, J. L. and Howes, G. G. (2018). The Alfvénic nature of energy transfer mediation in localized, strongly nonlinear Alfvén wavepacket collisions. *J. Plasma Phys.*, 84(1):905840109.
- Verniero, J. L., Howes, G. G., and Klein, K. G. (2018). Nonlinear energy transfer and current sheet development in localized Alfvén wavepacket collisions in the strong turbulence limit. *J. Plasma Phys.*, 84(1):905840103.