

Lecture #13 Landau Damping of Electrostatic Waves

Howes ①

I. Laplace-Fourier Solution of Electrostatic Plasma Waves

A. Setup:

1. Electrostatic: $\underline{E} = -\nabla\phi$, $\underline{B} = 0$, $\underline{E}_0 = 0 \Rightarrow \phi_0 = 0$

2. Vlasov-Poisson System:

$$\frac{\partial f_s}{\partial t} + \underline{v} \cdot \nabla f_s - \frac{q_s}{m_s} \nabla\phi \cdot \frac{\partial f_s}{\partial \underline{v}} = 0$$

$$-\nabla^2 \phi = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_s$$

3. Take $\underline{k} = k\hat{z}$

B. Linearization

1. $f_s = f_{s0}(\underline{v}) + \epsilon f_{s1}(\underline{x}, \underline{v}, t)$
 $\phi = \phi_0 + \epsilon \phi_1(\underline{x}, t)$

2. At $\mathcal{O}(\epsilon)$:

a. $\frac{\partial f_{s1}}{\partial t} + \underline{v} \cdot \nabla f_{s1} - \frac{q_s}{m_s} \nabla\phi_1 \cdot \frac{\partial f_{s0}}{\partial \underline{v}} = 0$

b. $-\nabla^2 \phi_1 = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_{s1}$

C. Fourier Transform in Space Only $\nabla \Rightarrow i\underline{k}$

1. a. $\frac{\partial f_{s1}}{\partial t} + i \underline{v} \cdot \underline{k} f_{s1} - i \frac{q_s \phi_1}{m_s} \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{v}} = 0$

b. $k^2 \phi_1 = \frac{1}{\epsilon_0} \sum_s \int d^3v q_s f_{s1}$

D. Laplace Transform in Time: $\tilde{f}_s(p) = \int_0^{\infty} dt f_{s1}(t) e^{-pt}$

1. a. $\tilde{f}'_s(p) + i \underline{v} \cdot \underline{k} \tilde{f}_s(p) - i \frac{q_s \tilde{\phi}(p)}{m_s} \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{v}} = 0$

b. Using $\tilde{f}'(p) = p\tilde{f}(p) - f(0)$, we get

$$(p + i \underline{v} \cdot \underline{k}) \tilde{f}_s(p) = i \frac{q_s \tilde{\phi}(p)}{m_s} \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{v}} + f(0)$$

Lecture # 13 (Continued)

Hayes (3)

I.D. (Continued)

2. Solving for $\tilde{f}_s(p)$

$$\tilde{f}_s(p) = \frac{i \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{v}} \frac{q_s \tilde{\phi}_1(p)}{m_s}}{p + i \underline{k} \cdot \underline{v}} + \frac{f_s(v)}{p + i \underline{k} \cdot \underline{v}}$$

The poles in this solution are due to $\tilde{\phi}_1(p)$ poles and $p = -i \underline{k} \cdot \underline{v}$

E. Substitute $\tilde{f}_s(p)$ into Poisson's Equation to Solve for $\tilde{\phi}_1(p)$

$$1. \quad k^2 \tilde{\phi}_1 = \frac{1}{\epsilon_0} \sum_s \int d^3 \underline{v} q_s \left\{ \frac{i \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{v}} \frac{q_s \tilde{\phi}_1(p)}{m_s}}{p + i \underline{k} \cdot \underline{v}} + \frac{f_s(v)}{p + i \underline{k} \cdot \underline{v}} \right\}$$

NOTE: $\tilde{\phi}_1(p)$ does not depend on \underline{v} .

2. Divide by k^2 and collect $\tilde{\phi}_1(p)$ terms:

$$a. \quad \tilde{\phi}_1 \left[1 - \sum_s \frac{\left(\frac{q_s^2 n_0}{\epsilon_0 m_s} \right)}{k^2 n_0} \int d^3 \underline{v} \frac{i \underline{k} \cdot \frac{\partial f_{s0}}{\partial \underline{v}}}{p + i \underline{k} \cdot \underline{v}} \right] = \frac{1}{k^2 \epsilon_0} \sum_s \int d^3 \underline{v} \frac{q_s f_s(v)}{p + i \underline{k} \cdot \underline{v}}$$

Dispersion Relation $D(p, \underline{k})$ Initial Conditions $N(p, \underline{k})$

b. Solution to $D(p, \underline{k}) = 0$ gives normal modes of the system.

c. Thus
$$\tilde{\phi}_1(p) = \frac{N(p, \underline{k})}{D(p, \underline{k})}$$

d. Inverse Laplace Transform $\tilde{\phi}_1(p)$ by Residue Theorem is due to poles in $N(p, \underline{k})$ and zeros of $D(p, \underline{k})$

F. Simplify Using $\underline{k} = k \hat{z}$ and Reduced Dielectric Function $\tilde{\epsilon}_0(v_z)$

$$1. \quad \tilde{\epsilon}_0(v_z) \equiv \frac{1}{n_0} \int_{-\infty}^{\infty} d^3 \underline{v}' \int_{-\infty}^{\infty} d^3 \underline{v} f_{s0}(\underline{v})$$

Lecture #13 (Continued)
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Howes (3)

2. Thus a. $D(p, k) = 1 - \sum_s \frac{c_{ps}^2}{k^2} \int_{-\infty}^{\infty} dz \frac{ik \frac{\partial f_{s0}}{\partial z}}{p + ikvz} = 1 - \sum_s \frac{c_{ps}^2}{k^2} \int_{-\infty}^{\infty} dz \frac{\frac{\partial f_{s0}}{\partial z}}{vz - \frac{ip}{k}}$

b. Similarly

$N(p, k) = \sum_s \frac{-iq_s n_0}{\epsilon_0 k^3} \int_{-\infty}^{\infty} dz \frac{F_s(z)}{vz - \frac{ip}{k}}$

3. A solution $\tilde{\phi}_i(k, p)$ is then given by

Pieces of Solution due to:

$$\tilde{\phi}_i(p, k) = \frac{-i \sum_s \frac{q_s n_0}{\epsilon_0 k^3} \int_{-\infty}^{\infty} dz \frac{F_s(z)}{vz - \frac{ip}{k}}}{1 - \sum_s \frac{c_{ps}^2}{k^2} \int_{-\infty}^{\infty} dz \frac{\frac{\partial f_{s0}}{\partial z}}{vz - \frac{ip}{k}}}$$

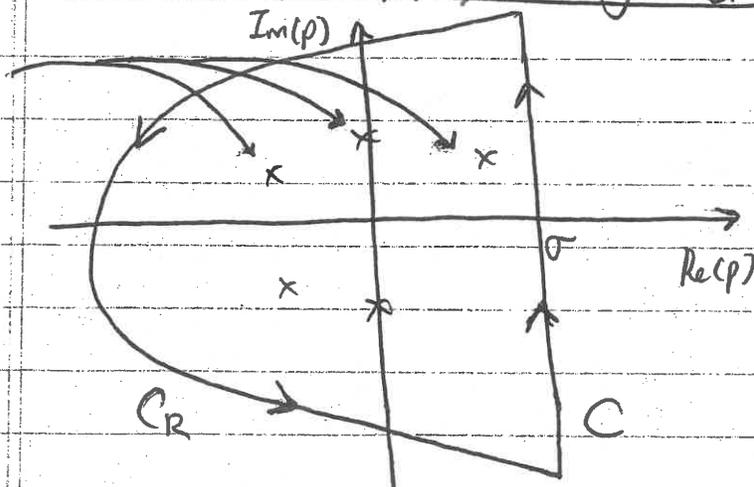
} Poles in Numerator
 } Zeros in Denominator
 $D(p, k) = 0$ is Normal Modes!

4. We want to find $\phi_i(k, t) = \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{\phi}_i(k, p) e^{pt}$

Using the Residue Theorem.

G. Evaluation of $\phi(k, t)$ Using Residue Theorem

Poles of $\tilde{\phi}(p, k)$



1. To Evaluate $\phi(k, t)$ using the Residue Theorem, we observe the contour by completing the loop at $\text{Re}(p) \rightarrow -\infty$ (This is section C_R)

Thus $\int_C dp \tilde{\phi}_i(k, p) e^{pt} = \int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{\phi}_i(k, p) e^{pt} + \int_{C_R} dp \tilde{\phi}_i(k, p) e^{pt}$

Lecture #13 (Continued)

Howes (4)

I. G. (Continued)

2. a. To evaluate contour integral using the Residue Theorem requires that $\tilde{\Phi}(k, p)$ be analytic within and on contour C .

b. But, the function $\tilde{\Phi}(k, p)$ was only defined for $\text{Re}(p) > 0$.

\Rightarrow Thus we must analytically continue $\tilde{\Phi}(k, p)$ to the negative Real half plane $\text{Re}(p) < 0$.

c. This is not straight forward due to the \sqrt{z} -integral in both $D(p, k)$ and $N(p, k)$. For example,

$$D(p, k) = 1 - \sum_S \frac{\omega_{ps}^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial f_{s0} / \partial v_z}{v_z - \frac{ip}{k}}$$

d. This function is discontinuous on the line $\text{Re}(p) = 0$.

Why? ① Remember $p = \gamma - i\omega$, so the denominator is

$$v_z - \frac{i}{k}(\gamma - i\omega) = v_z - \frac{\omega}{k} - \frac{i\gamma}{k}$$

② If $\text{Re}(p) = \gamma = 0$, then we have $\int_{-\infty}^{\infty} dv_z \frac{\partial f_{s0} / \partial v_z}{v_z - \frac{\omega}{k}}$

and the integral becomes undefined at $v_z = \frac{\omega}{k}$.

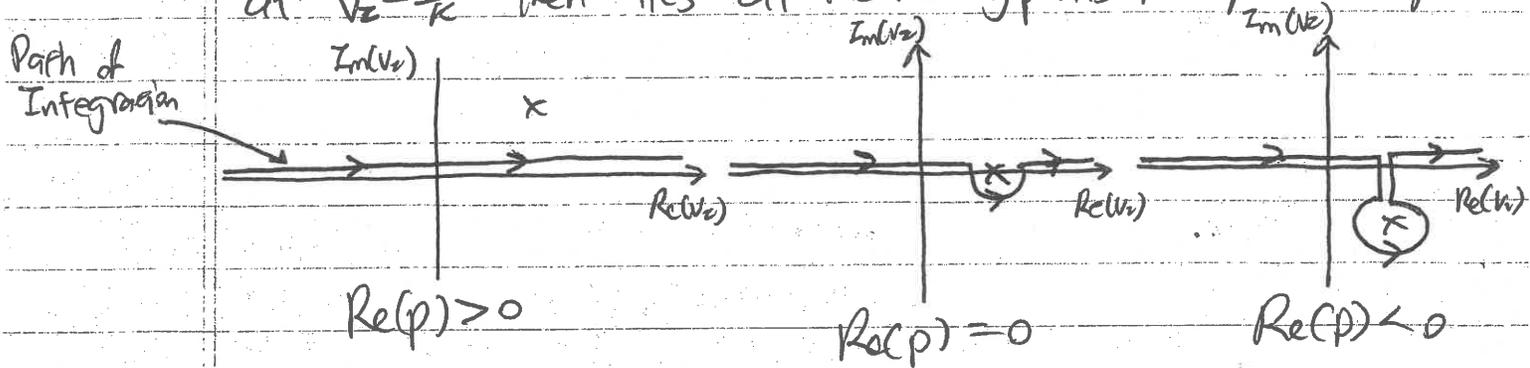
e. Since we must perform an contour integral over the entire complex plane p , this problem at $\text{Re}(p) = 0$ must be resolved.

H. Landau's Analytic Continuation of $D(p, k)$ and $N(p, k)$

1. Landau solved this problem by carrying out a careful analytic continuation of $D(p, k)$ and $N(p, k)$ to $\text{Re}(p) < 0$.

I. H. (Continued)

2. Consider the case $k > 0$ ($k < 0$ is analogous). The pole at $v_z = \frac{i p}{k}$ then lies at the following points in complex v_z space.



a. Treating the integral $\int_{-\infty}^{\infty} dv_z$ as a contour integration in complex v_z space, Landau deformed the contour of integration so that it always passes below the pole in v_z space.

b. In this way, the functions $D(p, k)$ and $N(p, k)$ [and thus $\tilde{\chi}_1(p, k)$] are analytically continued into the $\text{Re}(p) < 0$ half of the complex p plane.

c. Now we can go ahead and use the Residue Theorem to evaluate $\int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{\chi}_1(p, k) e^{p\tau}$.

3. a. We'll look at concrete examples of this v_z integration soon.

b. For Maxwellian equilibrium distribution, this gives rise to the Plasma Dispersion Function.

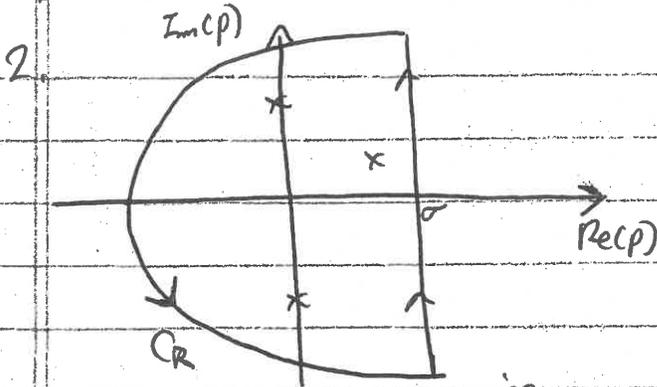
I. Evaluation of $\phi(k, \tau)$

1. Remember $f(\tau) = \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{f}(p) e^{p\tau}$

Lecture #13 (Continued)

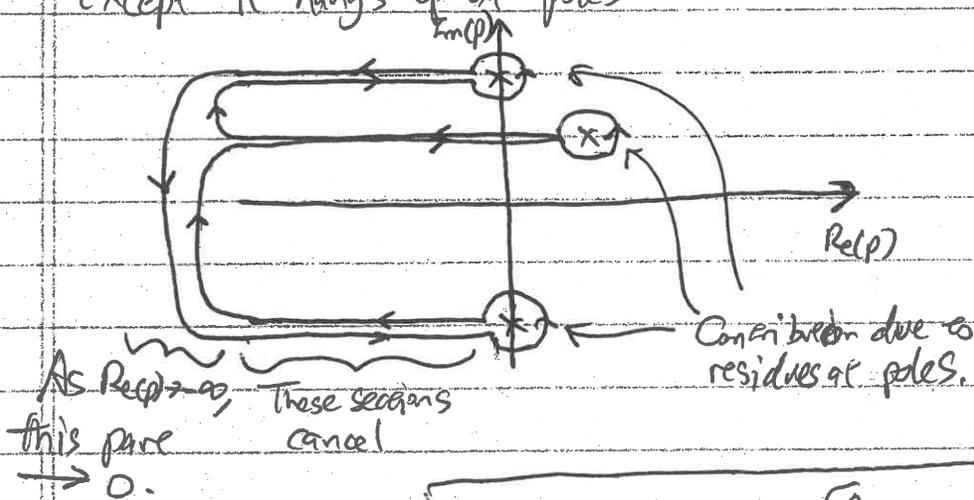
Howes 6

I. I. (Continued)



$$\begin{aligned}
 \int_C dp \tilde{\phi}_1(k, p) e^{pt} &= \underbrace{\int_{\sigma-i\infty}^{\sigma+i\infty} dp \tilde{\phi}_1(k, p) e^{pt}}_{= 2\pi i \phi_1(k, t)} + \underbrace{\int_{C_R} dp \tilde{\phi}_1(k, p) e^{pt}}_{\text{As } \text{Re}(p) \rightarrow -\infty} = 2\pi i \sum_j \text{Res}[\tilde{\phi}_1(k, p) e^{pt}]_{p=p_j}
 \end{aligned}$$

b. By deformation of paths, we can see that the only contribution to integral is due to residues. Deform C to $\text{Re}(p) \rightarrow -\infty$, except it hangs up at poles:



3. Thus, we find

$$\phi_1(k, t) = \sum_j \text{Res} \left[\tilde{\phi}_1(k, p) e^{pt} \right]_{p=p_j}$$

4. Remember, p 's are complex, $p = \gamma - i\omega$, so solutions typically have a behavior, $\sim e^{\gamma t} e^{-i\omega t}$, oscillatory with frequency ω and a growth rate for $\gamma > 0$, or damping rate for $\gamma < 0$.

II. Solution for Cauchy Velocity Distribution

A. Cauchy Velocity Distribution

1. A simple analytical distribution function is

DEF: Cauchy Reduced Velocity Distribution $F_0(v_z) = \frac{c}{\pi} \left(\frac{1}{c^2 + v_z^2} \right)$

a. NOTE: $\int_{-\infty}^{\infty} dv_z F_0(v_z) = 1$

2. Consider ions immobile, so $\beta_i = \beta_e$ and $\beta_i = 0$.

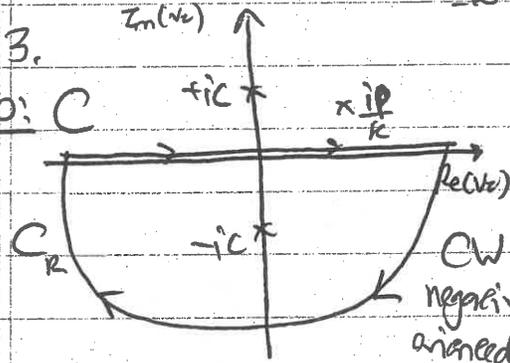
B. Velocity Integral over v_z

1. Our Dispersion Relation is $D(p, k) = 1 - \frac{\omega p^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{\partial F_0 / \partial v_z}{v_z - i\frac{p}{k}}$

where we only consider the electron contribution since ions are immobile.

2. We can integrate by parts (as done in Lect #11, II. F. 3.) to yield

$$D(p, k) = 1 - \frac{\omega p^2}{k^2} \int_{-\infty}^{\infty} dv_z \frac{F_0}{(v_z - i\frac{p}{k})^2} = 1 - \frac{\omega p^2 c}{k^2 \pi} \int_{-\infty}^{\infty} dv_z \frac{1}{(v_z - ic)(v_z + ic)(v_z - \frac{ip}{k})^2}$$



For $k > 0$:

a. Close at $\text{Im}(v_z) \rightarrow -\infty$

b. Let $g(v_z) = \frac{1}{(v_z - ic)(v_z + ic)(v_z - \frac{ip}{k})^2}$

c. Thus $\int_C dv_z g(v_z) = \int_{-\infty}^{\infty} dv_z g(v_z) + \int_{C_R} dv_z g(v_z)$

$$= -2\pi i \sum_j \text{Res}[g(v_z)]_{v_z = v_{zj}}$$

as $\text{Im}(v_z) \rightarrow -\infty$
(Really $|v_z| \rightarrow \infty$)

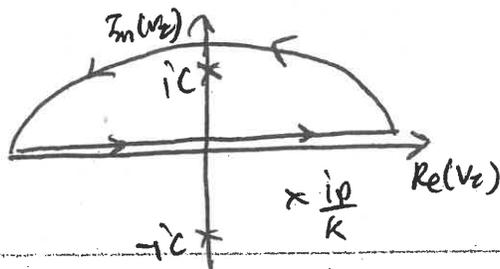
d. Thus for pole at $v_z = -ic$

$$= -2\pi i \frac{1}{(-2ic)(-ic - \frac{ip}{k})^2} = \frac{\pi}{c} \frac{-1}{(c + \frac{p}{k})^2}$$

e. So, we find for $k > 0$:

$$D(p, k) = 1 + \frac{\omega p^2 c}{k^2 \pi} \frac{\pi}{c} \frac{-1}{(c + \frac{p}{k})^2} = 1 - \frac{\omega p^2}{(p + kc)^2}$$

Lecture # 13 (Continued)
 II. B. (Continued).



Homes 8

4. Similarly for $k < 0$

a. Close in upper half plane $\text{Im}(v_z) \rightarrow \infty$ (CCW orientation).

b. Thus
$$\int_{-\infty}^{\infty} dv_z g(v_z) = 2\pi i \sum_j \text{Res}[g(v_z)] \rightarrow \text{pole at } v_z = +ic$$

$$= 2\pi i \frac{1}{2ic(ic - \frac{ip}{k})^2} = \frac{-\pi}{c} \frac{1}{(c - \frac{p}{k})^2}$$

c. Thus $D(p, k) = 1 + \frac{\omega_p^2}{(p - kc)^2}$

5. Noting that for $k > 0$, $k = |k|$ and for $k < 0$ $k = -|k|$, we can write these as a single equation

$$D(p, k) = 1 + \frac{\omega_p^2}{(p + |k|c)^2} = 0$$

b. NOTE: Since this solution is a polynomial, analytic continuation to the $\text{Re}(p) < 0$ plane is trivial.

6. Roots of dispersion relation are $p = -|k|c \pm i\omega_p$

C. Solving for $N(k, p)$

Initial condition on f_1

1.
$$N(k, p) = -i \sum_s \frac{q_s n_{s0}}{\epsilon_0 k^3} \int_0^{\infty} dv_z \frac{F_s(k, v, 0)}{v \pm ip/k}$$

a. If we have a specific form for the initial conditions $F_s(k, v, 0)$, then we can perform the integral analogous to the procedure above.

b. An important point is that, as long as $F_s(k, v, 0)$ does not have any singularities or discontinuities, the result of the integration will not have any singularities. \rightarrow Thus, no poles in $N(k, p)$

II. C. (Continued)

2. Rather than solve for a specific form of $F_3(\underline{k}, x, 0)$, we note

$$\tilde{\phi}(\underline{k}, p) \underbrace{D(\underline{k}, p)}_{\text{Dispersion Relation}} = \underbrace{N(\underline{k}, p)}_{\text{Initial Conditions}} \quad (\text{see I.E. 2.a. earlier})$$

a. We simply denote $N(\underline{k}, p) = \frac{1}{\omega p} \phi(\underline{k}, 0)$ since it is determined by the initial conditions.

b. Thus $\tilde{\phi}(\underline{k}, p) = \frac{\phi(\underline{k}, 0)}{\omega p D(p, \underline{k})} = \frac{\phi(\underline{k}, 0)}{\omega p \left(1 + \frac{\omega_0^2}{(p + k/c)^2}\right)} = \frac{(p + k/c)^2 \phi(\underline{k}, 0)}{[(p + k/c)^2 + \omega_0^2] \omega p}$

D. Completing Solution for $\phi(\underline{k}, t)$

1. As we solved earlier (I. I. 3.), $\phi(\underline{k}, t) = \sum_{p=p_j} \text{Res} \left[\tilde{\phi}(\underline{k}, p) e^{pt} \right]$

a. Here $\tilde{\phi}(\underline{k}, p) e^{pt} = \frac{(p + k/c)^2 \phi(\underline{k}, 0) e^{pt}}{(p + k/c - i\omega_0)(p + k/c + i\omega_0) \omega p}$

Poles are roots $p = -k/c + i\omega_0$ & $p = -k/c - i\omega_0$

2. Thus
$$\begin{aligned} \phi(\underline{k}, t) &= \frac{(-k/c + i\omega_0 + k/c)^2 \phi(\underline{k}, 0) e^{-k/c t} e^{i\omega_0 t}}{(-k/c + i\omega_0 + k/c - i\omega_0) \omega p} \\ &+ \frac{(-k/c - i\omega_0 + k/c)^2 \phi(\underline{k}, 0) e^{-k/c t} e^{-i\omega_0 t}}{(-k/c - i\omega_0 + k/c + i\omega_0) \omega p} \\ &= \frac{-\omega_0^2 \phi(\underline{k}, 0) e^{-k/c t} e^{i\omega_0 t}}{2i\omega_0^2} + \frac{-\omega_0^2 \phi(\underline{k}, 0) e^{-k/c t} e^{-i\omega_0 t}}{-2i\omega_0^2} \end{aligned}$$

$$\boxed{\phi(\underline{k}, t) = -\phi(\underline{k}, 0) e^{-k/c t} \left(\frac{e^{i\omega_0 t} - e^{-i\omega_0 t}}{2i} \right)} = -\phi(\underline{k}, 0) \sin(\omega_0 t) e^{-k/c t}$$