

Lecture #4: Ray Tracing in Inhomogeneous Plasmas

I. Introduction

A. Inhomogeneous Plasmas

1. Everything we have learned about waves so far has been for homogeneous plasmas $\Rightarrow$ we can Fourier analyze & solve.
2. In reality, completely homogeneous plasmas do not exist (but we'll see the lowest order properties of waves in inhomogeneous plasmas corresponds to the homogeneous solution).

3. Ray Tracing

Ray tracing is a technique used to solve for fields in many physical situations:

- a. Radio waves in plasmas
- b. Propagation of seismic waves in the earth & the sun
- c. General relativistic bending of light by gravity in galaxy clusters

B. Wave Propagation in an Inhomogeneous, Cold, Unmagnetized Plasma

1. For simplicity, we'll consider a cold, unmagnetized plasma with an equilibrium density gradient $n_0 = n_0(\underline{x}, t)$

a. Since $\omega_p^2(\underline{x}, t) = \frac{n_0(\underline{x}, t)}{\epsilon_0} \left(\frac{q_i^2}{m_i} + \frac{q_e^2}{m_e} \right)$ (assuming $n_i = n_e$)

$\Rightarrow$ The plasma frequency changes in space and time.

2. From Lect #22 of PHYS 4731 (Eq. III.C.3.b.)

a. $c^2 \underline{k} \times (\underline{k} \times \underline{E}_1) = \omega_p^2 \underline{E}_1 - \omega^2 \underline{E}_1$ where $\omega_p^2 = \omega_{pe}^2 + \omega_{pi}^2$

b. We can go through all the same steps without Fourier transforming to get:

$$\boxed{-c^2 \nabla \times (\nabla \times \underline{E}_1) = \omega_p^2(\underline{x}, t) \underline{E}_1 + \frac{\partial^2 \underline{E}_1}{\partial t^2}} \quad \textcircled{1}$$

Lecture #6 (Continued)

HWes ②

2. (Continued)

C. WKB Limit

1. Define characteristic length & time scales of inhomogeneous plasma:

$$a. \frac{\nabla \omega_p^2}{\omega_p^2} \equiv \frac{1}{L}$$

$$b. \frac{1}{\omega_p^2} \frac{\partial \omega_p^2}{\partial t} \equiv \frac{1}{\tau}$$

2. We'll look for solutions for waves with period T & wavelength λ such that

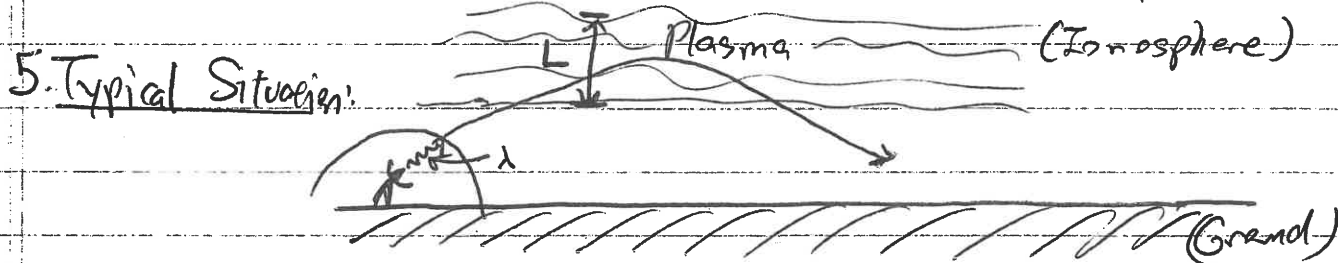
$$a. \frac{\lambda}{L} \ll 1 \Rightarrow (kL \gg 1)$$

$$b. \frac{T}{\tau} \ll 1 \Rightarrow (\omega\tau \gg 1)$$

This is the WKB limit $\Rightarrow$ The background changes slowly (on a larger scale) than the wavelength of the wave.

3. Ordering Parameter: $\epsilon \sim \frac{1}{kL} \sim \frac{1}{\omega\tau} \ll 1$

4. NOTE! For our solution, the amplitude $|\underline{E}_1|$ and wavenumber $|k|$ vary on scale L .



II. Ray Equations:

A Setup: 1. For a homogeneous plasma,

$$\underline{E}_1(\underline{x}, t) = \sum_{\underline{k}} \underline{E}_1(\underline{k}) e^{i(\underline{k} \cdot \underline{x} - \omega t)}$$

Physical Electric Field in $(\underline{x}, t)$

Fourier coefficient is constant for each $\underline{k}$

2. a. We'll consider the case for a single mode [just one $\underline{k}$ in homogeneous case]

b. Write $\underline{E}_1$ as "almost" a plane wave

$$\underline{E}_1(\underline{x}, t) = \underline{E}_1(\underline{x}, t) e^{iS(\underline{x}, t)} \quad (2)$$

slowly varying on scales L & τ

rapidly varying on scales λ & T .

Lecture #6 (Continued) II. A2 (Continued)

Hawes ③

a. $\frac{\partial S}{\partial t} \sim \frac{1}{T} \gg \frac{1}{\tau}$ and $\nabla S \sim \frac{1}{\lambda} \gg \frac{1}{L}$

d. The function $S(\underline{x}, t)$, describing the wave phase front, is called the eikonal.

3. Define: a Local Wavevector $\underline{k}(\underline{x}, t) \equiv \nabla S$

b. Local Frequency $\omega(\underline{x}, t) \equiv -\frac{\partial S}{\partial t}$

c. NOTE: By definition, $\frac{\partial \underline{k}}{\partial t} = -\nabla \omega$.

B. 1. Substitute solution ② into equation ①

a. NOTE: a. $\nabla \times \underline{E}_1 = \nabla \times [\underline{E}_1(\underline{x}, t) e^{iS(\underline{x}, t)}] = (\nabla \times \underline{E}_1) e^{iS} + i(\nabla S) \times \underline{E}_1 e^{iS}$
 $= (\nabla \times \underline{E}_1) e^{iS} + i(\underline{k} \times \underline{E}_1) e^{iS}$

b. $\frac{\partial \underline{E}_1}{\partial t} = \frac{\partial \underline{E}_1}{\partial t} e^{iS} - i\omega \underline{E}_1 e^{iS}$

2. After cancelling the factor e^{iS} , we obtain:

$\underline{k} \times (\underline{k} \times \underline{E}_1) - i\underline{k} \times (\nabla \times \underline{E}_1) - i\nabla \times (\underline{k} \times \underline{E}_1) - \nabla \times (\nabla \times \underline{E}_1)$

④

①

⑤

⑥

⑥²

$= \frac{\omega_p^2 - \omega^2}{c^2} \underline{E}_1 - \frac{i\omega}{c^2} \frac{\partial \underline{E}_1}{\partial t} - \frac{i}{c^2} \frac{\partial}{\partial t} (\omega \underline{E}_1) + \frac{1}{c^2} \frac{\partial^2 \underline{E}_1}{\partial t^2}$

①

⑥

⑥

⑥²

3. Determine the order of each term in $\epsilon = \frac{1}{kL} = \frac{1}{\omega\tau} \ll 1$.

a. Compare 4th to 1st term on LHS: Take $\nabla \sim \frac{1}{L}$

$\mathcal{O}\left(\frac{\nabla \times (\nabla \times \underline{E}_1)}{\underline{k} \times \underline{k} \times \underline{E}_1}\right) \sim \frac{\frac{E_1}{L^2}}{k^2 E_1} \sim \frac{1}{k^2 L^2} \sim \epsilon^2$

b. Compare 4th to 1st term on RHS: Take $\frac{\partial}{\partial t} \sim \frac{1}{\tau}$

$\mathcal{O}\left(\frac{\frac{1}{c^2} \frac{\partial^2 \underline{E}_1}{\partial t^2}}{-\frac{\omega^2}{c^2} \underline{E}_1}\right) \sim \frac{\frac{E_1}{c^2 \tau^2}}{\frac{\omega^2 E_1}{c^2}} \sim \frac{1}{\omega^2 \tau^2} \sim \epsilon^2$

Lecture 6 (Continued)

II. B. (Continued)

Huws ④

4. Expand solution $\underline{E}_1$ in powers of ϵ : $\underline{E}_1 = \underline{E}_{1(0)} + \epsilon \underline{E}_{1(1)} + \epsilon^2 \underline{E}_{1(2)} + \dots$

C. $\mathcal{O}(1)$ Solution:

1. $\underline{k} \times (\underline{k} \times \underline{E}_{1(0)}) = \frac{\omega_p^2 - \omega^2}{c^2} \underline{E}_{1(0)}$

a. This just gives the dispersion relation for a homogeneous plasma.
 $\Rightarrow$ At lowest order, local conditions $\underline{k}(\underline{x}, t)$ & $\omega(\underline{x}, t)$ satisfy homogeneous dispersion relation

2. Let's focus on the Modified Light Wave $\Rightarrow$ take $\underline{k}_0 \cdot \underline{E}_{1(0)} = 0$

$$\Rightarrow \boxed{\omega^2(\underline{x}, t) = \omega_p^2(\underline{x}, t) + k^2(\underline{x}, t) c^2}$$

a. Usually, $\omega = \omega(\underline{x}, \underline{k}, t)$, but since $\underline{k} = \underline{k}(\underline{x}, t)$, we may write $\omega = \omega(\underline{x}, t)$

3a. Assuming we know $n(\underline{x}, t)$, then $\omega_p^2(\underline{x}, t)$ is known.

b. This leaves us with 4 unknowns $[\omega(\underline{x}, t) \text{ \& \; } \underline{k}(\underline{x}, t)]$ and one equation.

c. But, ω & $\underline{k}$ are related $\Rightarrow$ Both derived from one function, $S(\underline{x}, t)$.

4a. Remember, by definition, $\frac{\partial \underline{k}}{\partial t} = -\nabla \omega$

b. But $\omega = \omega(\underline{x}, \underline{k}(\underline{x}, t), t)$, so

$$\nabla \omega = \frac{\partial \omega}{\partial \underline{x}} = \left(\frac{\partial \omega}{\partial \underline{x}} \right)_{\underline{k}, t} + \left(\frac{\partial \omega}{\partial \underline{k}} \right) \cdot \left(\frac{\partial \underline{k}}{\partial \underline{x}} \right)_{\underline{x}, t} = \left(\frac{\partial \omega}{\partial \underline{x}} \right)_{\underline{k}, t} + \underbrace{\nabla \underline{k}}_{\text{Tensor}} \cdot \left(\frac{\partial \omega}{\partial \underline{k}} \right)_{\underline{x}, t}$$

c. Subtle point: $\nabla \underline{k} = \nabla(\nabla S) \Rightarrow$ This is a symmetric tensor,

so we may write $\nabla \underline{k} \cdot \frac{\partial \omega}{\partial \underline{k}} = \frac{\partial \omega}{\partial \underline{k}} \cdot \nabla \underline{k}$

d. This gives: $\frac{\partial \underline{k}}{\partial t} + \left(\frac{\partial \omega}{\partial \underline{k}} \right)_{\underline{x}, t} \cdot \nabla \underline{k} = - \left(\frac{\partial \omega}{\partial \underline{x}} \right)_{\underline{k}, t}$

5. Remember, group velocity $\underline{v}_g = \left(\frac{\partial \omega}{\partial \underline{k}} \right)_{\underline{x}, t} \Rightarrow$ This is the group velocity at a given point $\underline{x}$.

Lecture #6 (Continued)

Hawes (5)

II. C. (Continued)

6. Lagrangian Frame:

a. Follow a point moving with group velocity:

$$\frac{dx}{dt} = v_g = \left(\frac{\partial \omega}{\partial k} \right)_{k,t}$$

b. The Lagrangian (or convective, substantial) derivative is

$$\frac{d}{dt} \equiv \frac{\partial}{\partial t} + v_g \cdot \nabla$$

c. Thus $\frac{dk}{dt} = - \left(\frac{\partial \omega}{\partial x} \right)_{k,t}$

$$d. \frac{d\omega}{dt} = \left(\frac{\partial \omega}{\partial t} \right)_{k,x} + \underbrace{\frac{dk}{dt}}_{= \frac{\partial \omega}{\partial k}} \left(\frac{\partial \omega}{\partial k} \right)_{k,t} + \underbrace{\frac{dx}{dt}}_{= \frac{\partial \omega}{\partial k}} \left(\frac{\partial \omega}{\partial x} \right)_{k,t} = \left(\frac{\partial \omega}{\partial t} \right)_{k,x}$$

D. The Ray Equations

$$\begin{aligned} i. \quad \frac{dk}{dt} &= - \left(\frac{\partial \omega}{\partial x} \right)_{k,t} \\ \frac{dx}{dt} &= \left(\frac{\partial \omega}{\partial k} \right)_{k,t} \\ \frac{d\omega}{dt} &= \left(\frac{\partial \omega}{\partial t} \right)_{k,x} \end{aligned}$$

The Ray Equations are completely analogous to Hamilton's equations under the change

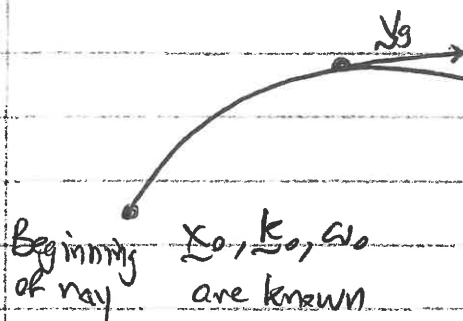
$$\omega \Rightarrow H$$

$$x \Rightarrow x$$

$$k \Rightarrow p$$

III. Solving the Ray Equations

A. i.



After some time:

$$x, k, \omega$$

Found by integrating ray equations

$\Rightarrow$ Use like a particle trajectory.

Lecture #6 (Continued)

Hawes 6

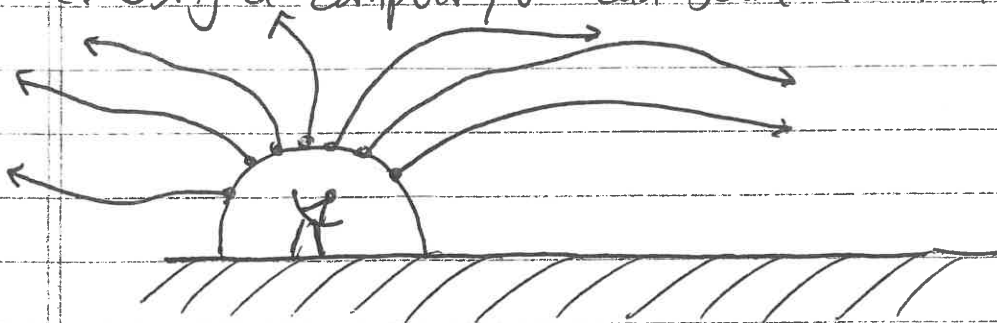
III. A. (Continued)

2. a. Solving for $S(\underline{x}, t)$: We can find $S(\underline{x}, t)$ by integrating along the ray:

$$\frac{ds}{dt} = \frac{\partial S}{\partial t} + \underline{v}_g \cdot \nabla S = -\omega(\underline{x}, t) + \underline{v}_g \cdot \underline{k}(\underline{x}, t)$$

b. But this only gives S along the ray.

c. Using a computer, we can search at a hemisphere of points:



d. By integrating along many ray paths, we can eventually find $S(\underline{x}, t)$ over all space (by interpolation)

B. Amplitudes:

1. a. Our original solution assumed $\underline{E}_1(\underline{x}, t) = \underline{E}_1(\underline{x}, t) e^{iS(\underline{x}, t)}$

b. We have solved for the eikonal $S(\underline{x}, t)$, but usually we want to know the amplitude as well.

c. To solve for amplitude, we go to the next order in the expansion.

2. $\mathcal{O}(\epsilon)$:

$$\underbrace{\underline{k} \times (\underline{k} \times \underline{E}_{1(1)}) - \frac{\omega_p^2 - \omega^2}{c^2} \underline{E}_{1(1)}}_{\text{We don't need to know } \underline{E}_{1(1)}} = \underbrace{i \underline{k} \times (\nabla \times \underline{E}_{1(0)}) + i \nabla \times (\underline{k} \times \underline{E}_{1(0)}) - \frac{i\omega}{c^2} \frac{\partial \underline{E}_{1(0)}}{\partial t} - \frac{i}{c^2} \frac{\partial (\omega \underline{E}_{1(0)})}{\partial t}}_{\text{We want to find } \underline{E}_{1(0)}}$$

b. Annihilate $\underline{E}_1$ by dotting solution with $\underline{E}_{1(0)}^*$:

$$i \underline{E}_{1(0)}^* \cdot \left[\underline{k} \times (\underline{k} \times \underline{E}_{1(1)}) - \frac{\omega_p^2 - \omega^2}{c^2} \underline{E}_{1(1)} \right] = \underbrace{\left(\underline{E}_{1(0)}^* \cdot \underline{k} \right) (\underline{k} \cdot \underline{E}_{1(1)})}_{\text{Transverse Mod. Light Wave}} + \left(\underline{k}^2 \frac{\omega_p^2 - \omega^2}{c^2} \right) \underline{E}_{1(0)}^* \cdot \underline{E}_{1(1)} = 0$$

c. We may then add the resulting RHS to it's complex conjugate and manipulate.

Lecture #6 (Continued)

Pages ⑦

III B. (Continued)

3. Continuity Equation for Wave Energy:

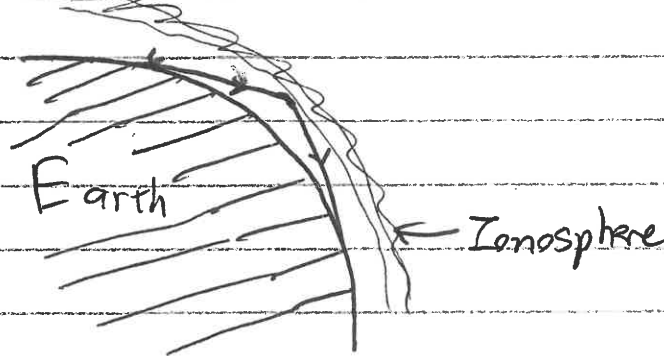
$$\frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot (\mathbf{v}_g \mathcal{E}) = 0$$

where $\mathcal{E} = \frac{\epsilon_0 \omega |\mathbf{E}_0|^2}{2}$ is analogous to wave energy.

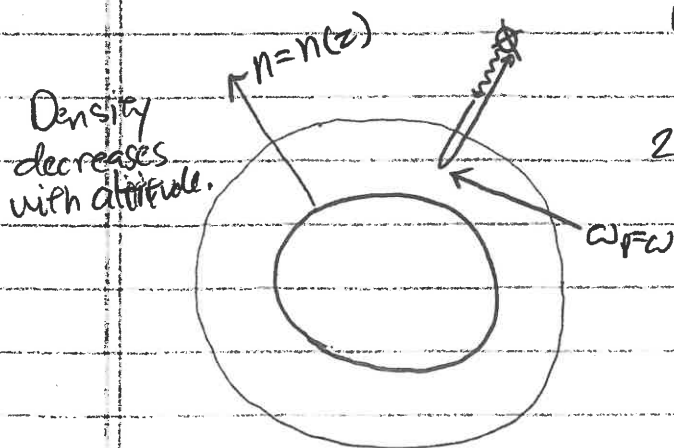
$$\text{and } \mathbf{v}_g = \left(\frac{\partial \omega}{\partial \mathbf{k}} \right)_{\mathbf{k}(t)} = \frac{c^2 \mathbf{k}}{\omega} \text{ in this case}$$

IV. Applications:

A. AM Radio Waves:



B. MARSIS: Mars Advanced Radar for Subsurface and Ionosphere Sounding (on Mars Express spacecraft)



1. Radio wave at frequency ω is sent down into ionosphere

2. Radio wave reflects at $\omega = \omega_p$

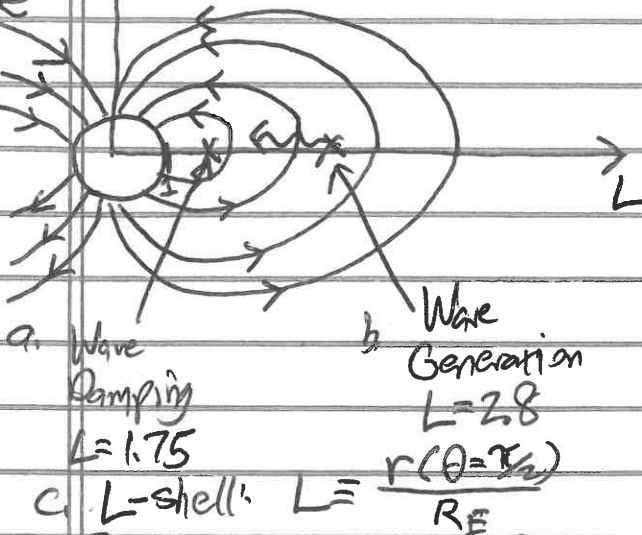
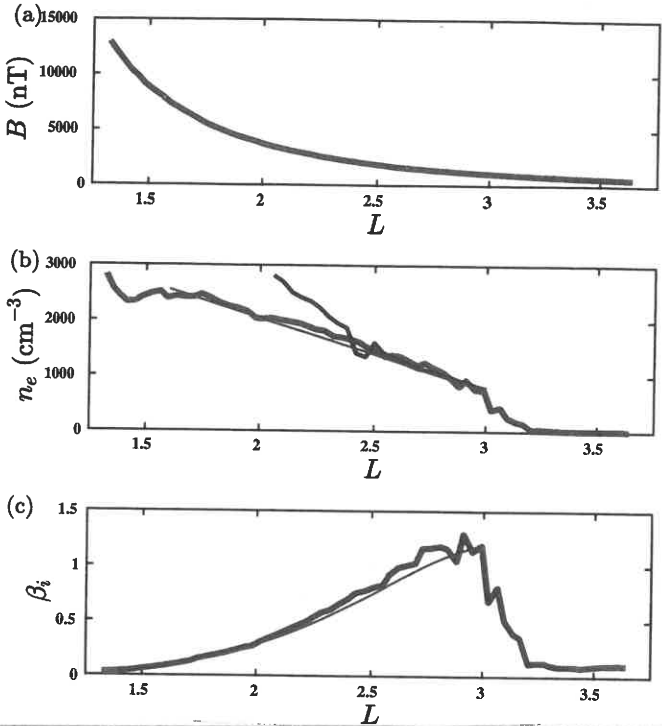
3. By scanning frequency and measuring signal return time, you can get an altitude profile of density, $n(z)$

IV (Continued)

C. Wave Propagation in the Earth's Radiation Belts

Hawes ⑧

2. Variation of Plasma Parameters



3. Fast Magnetosonic Wave for $k_{\perp} \ll k_{\parallel}$

a. $\frac{\omega}{\omega_{ci}} = k d_i \sqrt{1 + \beta_i (1 + T_e/T_i)}$

b. Ion inertial length: $d_i \equiv \frac{VA}{\omega_{ci}}$

c. Take $T_e = \text{const}$, $T_i = \text{const}$

4. Varying plasma parameters yield $\omega_{ci}(L)$, $k(L)$, $d_i(L)$, $\beta_i(L)$

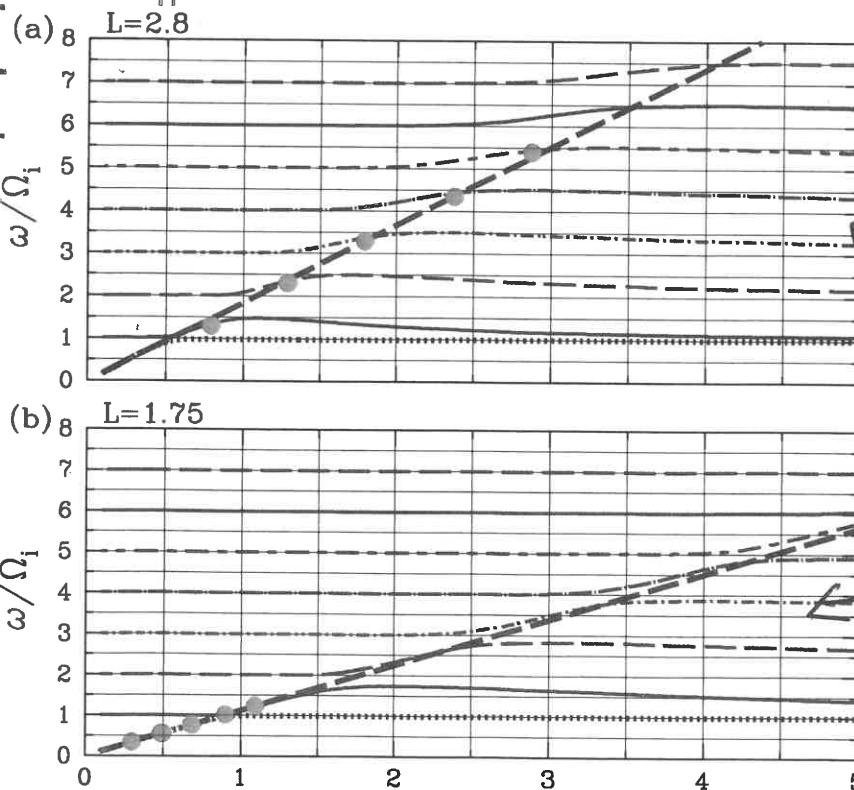
5. For $k_{\perp} \gg k_{\parallel}$, solve

$$k_{\perp}(L) d_i(L) = \frac{\omega}{\omega_{ci}(L)} \sqrt{1 + \beta_i(L) \left(1 + \frac{T_e}{T_i}\right)}$$

6. Ion Bernstein Instability:

a. Power ring distribution drives unstable harmonic ion Bernstein waves (IBWs)

7. Propagation towards Earth (lower L) converts IBWs to fast magnetosonic waves with $\omega \lesssim \omega_{ci}$.



NOTE: $\beta_i = \omega_{ci}^2$ $k_{\perp} d_i$